





# $\mathcal{L}_2$ -Gain Analysis of Periodic Event-Triggered Control and Self-Triggered Control Using Lifting

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**Abstract**—We analyze the stability, and  $\mathcal{L}_2$ -gain properties of a class of hybrid systems that exhibit time-varying linear flow dynamics, periodic time-triggered jumps, and arbitrary nonlinear jump maps. This class of hybrid systems encompasses periodic event-triggered control, self-triggered control, and networked control systems including time-varying communication delays. New notions on the stability, and contractivity ( $\mathcal{L}_2$ -gain strictly smaller than 1) from the beginning of the flow, and from the end of the flow are introduced, and formal relationships are derived between these notions, revealing that some are stronger than others. Inspired by ideas from lifting, it is shown that the internal stability, and contractivity in  $\mathcal{L}_2$ -sense of a continuous-time hybrid system in the framework is equivalent to the stability, and contractivity in  $\mathcal{L}_2$ -sense (meaning the  $\mathcal{L}_2$ -gain is smaller than 1) of an appropriate time-varying discrete-time nonlinear system. These results recover existing works in the literature as special cases, and indicate that analysing different discrete-time nonlinear systems (of the same level of complexity) than in existing works yield stronger conclusions on the  $\mathcal{L}_2$ -gain.

**Index Terms**—Networked control systems, periodic event-triggered control (PETC), piecewise affine (PWA) systems, self-triggered control (STC).

## I. INTRODUCTION

In this article, we consider hybrid systems of the form

$$\frac{d}{dt} \begin{bmatrix} \xi \\ \tau \\ \theta \end{bmatrix} = \begin{bmatrix} A_\theta \xi + B_\theta w \\ 1 \\ 0 \end{bmatrix}, \text{ when } \tau \in [0, 1] \quad (1a)$$

$$\begin{bmatrix} \xi^+ \\ \tau^+ \\ \theta^+ \end{bmatrix} \in \Phi_\theta(\xi) \times \{0\} \times \Psi_\theta(\xi), \text{ when } \tau = 1 \quad (1b)$$

$$z = C_\theta \xi + D_\theta w \quad (1c)$$

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where we adopted the hybrid systems framework [1]. The states of this hybrid system consist of  $\xi \in \mathbb{R}^{n_\xi}$ , a timer variable  $\tau \in \mathbb{R}_{\geq 0}$ , and a discrete mode variable  $\theta \in \mathbb{T}$ , with  $\mathbb{T}$  a finite or countable subset of  $\mathbb{N}$ . The variable  $w \in \mathbb{R}^{n_w}$  denotes the disturbance input and  $z \in \mathbb{R}^{n_z}$  the performance output. Moreover,  $A_\theta, B_\theta, C_\theta, D_\theta, \theta \in \mathbb{T}$ , are constant real matrices of appropriate dimensions. When  $\tau$  reaches 1, the state of the system undergoes a jump according to the set-valued map given in (1b). The jump of the state  $\xi$  is governed by  $\Phi_\theta : \mathbb{R}^{n_\xi} \rightrightarrows \mathbb{R}^{n_\xi}$ ,  $\theta \in \mathbb{T}$ , an arbitrary nonlinear set-valued and possibly discontinuous map with  $\Phi_\theta(0) = \{0\}$ ,  $\theta \in \mathbb{T}$ . The jump of the discrete mode  $\theta$  jumps is specified by  $\Psi_\theta : \mathbb{R}^{n_\xi} \rightrightarrows \mathbb{T}$ ,  $\theta \in \mathbb{T}$ . In between the jumps the system flows according to the differential equation (1a). In this article, the conventions introduced in [1] are not directly adopted. The specific form of (1) allows to define  $t \in [0, \infty)$  except on the instances where  $t$  is a multiple of 1, for this we use the notation  $t^+$ , leading to an unambiguous definition, close to classical works such as [2].

Interestingly, the class of hybrid systems captured by (1) is a generalization and unification of those found in [2] and [3]. In [3], a class of hybrid systems is discussed with periodic jumps and time-invariant possibly nonlinear jump maps. This class of hybrid systems is included in (1), by proper time scaling of the flow dynamics, and by omitting the mode  $\theta$ , i.e.,  $\mathbb{T} := \{0\}$ . The class of hybrid systems discussed in [2] uses linear jump maps, i.e.,  $\Phi_\theta$  is a linear mapping from  $\mathbb{R}^{n_\xi}$  to  $\mathbb{R}^{n_\xi}$ , for all  $\theta \in \mathbb{T}$ . Moreover, the aperiodic interjump time considered in [2] can be modeled through the mode  $\theta$  and proper time scaling of the linear flow dynamics. The framework (1) also extends our preliminary work in [4], in which the adapted hybrid model did not include the mode  $\theta$  and its corresponding jump map  $\Psi_\theta$ , thereby not capable of encompassing certain applications such as self-triggered control (see Section IV). The hybrid systems (1) are of importance for the description of the closed-loop systems arising from periodic event-triggered control [5], networked control systems with constant transmission intervals and shared communication networks requiring medium access protocols [6], reset control systems [7]–[12] with possibly aperiodically verified reset conditions, self-triggered control systems [13]–[17] that include time-varying communication delays satisfying the small delay assumption, etc.

We are interested in analyzing stability and  $\mathcal{L}_2$ -gain performance properties for this generalized framework compared to [2]–[4], thereby capable of handling the full range of applications just mentioned, including full proofs of the results, which were not provided in our preliminary version [4]. Exploiting ideas from lifting [2], [18]–[21], novel necessary and sufficient conditions for stability and contractivity ( $\mathcal{L}_2$ -gain strictly smaller than one) of (1) are derived in terms of the stability and contractivity in the  $\mathcal{L}_2$ -sense of an appropriate time-varying discrete-time nonlinear system. To arrive at the time-varying discrete-time nonlinear system using lifting, some restrictions on the initial conditions of (1) are required related to taking,  $\tau(0) = 0$  or  $\tau(0) = 1$ , referred to *beginning of the flow* and *end of the flow*, respectively. In

particular, we formally introduce three different notions of internal stability and contractivity for (1), related to taking  $\tau(0) \in [0, 1]$ ,  $\tau(0) = 0$ , or  $\tau(0) = 1$ . In [2] and [3], the initial conditions of (1) are restricted to starting at the end of the flow, i.e.,  $\tau(0) = 1$ , which as shown in this note, turns out to be the weakest of the three notions. Interestingly, the new results in this article not only lead to stronger conclusions but also apply to a significantly larger class of systems than in [2]–[4] encompassing new applications in areas such as self-triggered control for which no necessary and sufficient conditions on stability and contractivity were available in the literature before.

The remainder of this article is organized as follows. In Section II, we introduce the preliminaries. In Section III, we introduce new notions of stability and contractivity and derive formal relationships between them. In Section IV, we show how PETC systems with a varying delay and self-triggered control system can be captured in (1). In the main result in Section V (Theorem V.3), we connect the corresponding internal stability and contractivity properties of (1) to the internal stability and contractivity of an appropriate discrete-time system. Conclusion is given in Section VI. Proofs are given in the Appendix.

## II. PRELIMINARIES

We recall a few necessary preliminaries, mostly taken from [3]. As usual, we denote by  $\mathbb{R}^n$  the standard  $n$ -dimensional Euclidean space with inner product  $\langle x, y \rangle = x^\top y$  and norm  $|x| = \sqrt{x^\top x}$  for  $x, y \in \mathbb{R}^n$ .  $\mathcal{L}_2^2[0, \infty)$  denotes the set of square-integrable functions defined on  $\mathbb{R}_{>0} = [0, \infty)$  and taking values in  $\mathbb{R}^n$  with  $\mathcal{L}_2$ -norm  $\|x\|_{\mathcal{L}_2} = \sqrt{\int_0^\infty |x(t)|^2 dt}$  and inner product  $\langle x, y \rangle_{\mathcal{L}_2} = \int_0^\infty x^\top y dt$  for  $x, y \in \mathcal{L}_2[0, \infty)$ . If  $n$  is clear from the context we also write  $\mathcal{L}_2$ . We also use square-integrable functions on subsets  $[a, b]$  of  $\mathbb{R}_{\geq 0}$  and then we write  $\mathcal{L}_2^2[a, b]$  (or  $\mathcal{L}_2[a, b]$  if  $n$  is clear from the context) with inner product and norm defined analogously. The set  $\mathcal{L}_{2,e}[0, \infty)$  consists of all locally square-integrable functions, i.e., all functions  $x$  defined on  $\mathbb{R}_{\geq 0}$ , such that for each bounded domain  $[a, b] \subset \mathbb{R}_{\geq 0}$  the restriction  $x|_{[a,b]}$  is contained in  $\mathcal{L}_2^2[a, b]$ . We also will use the set of essentially bounded functions defined on  $\mathbb{R}_{\geq 0}$  or  $[a, b] \subset \mathbb{R}_{\geq 0}$ , which are denoted by  $\mathcal{L}_\infty([0, \infty))$  or  $\mathcal{L}_\infty([a, b])$ , respectively, with the norm given by the essential supremum denoted by  $\|x\|_{\mathcal{L}_\infty}$  for an essentially bounded function  $x$ . A function  $\beta : \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}_{\geq 0}$  is called a  $\mathcal{K}$ -function if it is continuous, strictly increasing and  $\beta(0) = 0$ .

For  $X, Y$  Hilbert spaces with inner products  $\langle \cdot, \cdot \rangle_X$  and  $\langle \cdot, \cdot \rangle_Y$ , respectively, a linear operator  $U : X \rightarrow Y$  is called isometric if  $\langle Ux_1, Ux_2 \rangle_Y = \langle x_1, x_2 \rangle_X$  for all  $x_1, x_2 \in X$ . The (Hilbert) adjoint operator is denoted by  $U^* : X \rightarrow Y$  and satisfies  $\langle Ux, y \rangle_Y = \langle x, U^*y \rangle_X$  for all  $x \in X$  and  $y \in Y$ . Note that  $U$  being isometric is equivalent to  $U^*U = I$  (or  $UU^* = I$ ). The operator  $U$  is called an isomorphism if it is an invertible mapping, i.e., if it is one-to-one. The induced norm of  $U$  (provided it is finite) is denoted by  $\|U\|_{X,Y} = \sup_{x \in X \setminus \{0\}} \frac{\|Ux\|_Y}{\|x\|_X}$ . If the induced norm is finite we say  $U$  is a bounded linear operator. If  $X = Y$  we write  $\|U\|_X$  and if  $X, Y$  are clear from the context we use the notation  $\|U\|$ .

To an infinite sequence of Hilbert spaces  $\{X_k\}_{k \in \mathbb{N}}$ , we can associate a Hilbert space  $\ell_2(\{X_k\}_{k \in \mathbb{N}})$  consisting of infinite sequences  $\tilde{x} = (\tilde{x}_0, \tilde{x}_1, \tilde{x}_2, \dots)$ , with  $\tilde{x}_k \in X_k$ ,  $k \in \mathbb{N}$ , satisfying  $\sum_{i=0}^\infty \|\tilde{x}_i\|_{X_i}^2 < \infty$ , and the inner product  $\langle \tilde{x}, \tilde{y} \rangle_{\ell_2(\{X_k\}_{k \in \mathbb{N}})} = \sum_{i=0}^\infty \langle \tilde{x}_i, \tilde{y}_i \rangle_{X_i}$ . In case  $X_k = V$  for all  $k \in \mathbb{N}$ , we also write  $\ell_2(V)$  for short. We denote  $\ell_2(\mathbb{R}^n)$  by  $\ell_2$  when  $n \in \mathbb{N}_{\geq 1}$  is clear from the context. We also use the notation  $\ell(\{X_k\}_{k \in \mathbb{N}})$  to denote the set of all infinite sequences  $\tilde{x} = (\tilde{x}_0, \tilde{x}_1, \tilde{x}_2, \dots)$  with  $x_k \in X_k$ ,  $k \in \mathbb{N}$ .

Consider the discrete-time system of the form

$$\begin{bmatrix} \xi_{k+1} \\ r_k \end{bmatrix} \in \psi(\xi_k, v_k) \quad (2)$$

with  $v_k \in V$ ,  $r_k \in R$ ,  $\xi_k \in \mathbb{R}^{n_\xi}$ ,  $k \in \mathbb{N}$ , with  $V$  and  $R$  Hilbert spaces and  $\psi : \mathbb{R}^{n_\xi} \times V \rightrightarrows \mathbb{R}^{n_\xi} \times R$ .

**Definition 2.1:** The discrete-time system (2) is said to have an  $\ell_2$ -gain from  $v$  to  $r$  smaller than  $\gamma \in \mathbb{R}_{>0}$  if there exist a  $\bar{\gamma} \in [0, \gamma)$  and a  $\mathcal{K}$ -function  $\beta$  such that, for any  $v \in \ell_2(V)$  and any initial state  $\xi_0 \in \mathbb{R}^{n_\xi}$ , the corresponding solutions to (2) satisfy  $\|r\|_{\ell_2(R)} \leq \beta(\|\xi_0\|) + \bar{\gamma}\|v\|_{\ell_2(V)}$ . The terminology  $\gamma$ -contractivity is used if this property holds. Moreover, 1-contractivity is also called contractivity (in  $\ell_2$ -sense).

**Definition 2.2:** The discrete-time system (2) is said to be internally stable if there is a  $\mathcal{K}$ -function  $\beta$  such that, for any  $v \in \ell_2(V)$  and any initial state  $\xi_0 \in \mathbb{R}^{n_\xi}$ , the corresponding solutions  $\xi$  to (2) satisfy  $\|\xi\|_{\ell_2} \leq \beta(\max(|\xi_0|, \|v\|_{\ell_2(V)}))$ .

## III. STABILITY AND CONTRACTIVITY NOTIONS

We will focus on both internal stability and whether the  $\mathcal{L}_2$ -gain of (1) is smaller than 1, called contractivity. Note that by proper scaling of  $C$  and  $D$  in (1), it can be determined from contractivity properties if the  $\mathcal{L}_2$ -gain is smaller than any other value of  $\gamma \in \mathbb{R}_{>0}$  as well. In fact, three notions of internal stability and contractivity will be introduced and we will derive formal relations between the notions.

In order to introduce the notions, we will use  $(\xi_0, \tau_0, \theta_0)$  for the initial value of  $(\xi(t), \tau(t), \theta(t))$  and write  $\mathcal{S}_z(\xi_0, \tau_0, \theta_0, w)$  for the set of maximal solutions starting with  $\xi(0) = \xi_0$ ,  $\tau(0) = \tau_0$  and  $\theta(0) = \theta_0$  driven by  $w \in \mathcal{L}_2$ , where we assume all signals are left continuous; see [1] for definition of maximal solutions.

**Definition 3.1:** The hybrid system (1) is said to be contractive if there exist a  $\bar{\gamma} \in [0, 1)$  and a  $\mathcal{K}$ -function  $\beta$  such that, for any  $w \in \mathcal{L}_2$ ,  $\xi_0 \in \mathbb{R}^{n_\xi}$ ,  $\tau_0 \in [0, 1]$ ,  $\theta_0 \in \mathbb{T}$ , any  $(\xi, \tau, \theta) \in \mathcal{S}_z(\xi_0, \tau_0, \theta_0, w)$  satisfies

$$\|z\|_{\mathcal{L}_2} \leq \beta(|\xi_0|) + \bar{\gamma}\|w\|_{\mathcal{L}_2}. \quad (3)$$

If this property holds for  $\tau_0 = 0$ , or  $\tau_0 = 1$  the system is said to be contractive from the beginning of the flow ( $b$ -contractive) or contractive from the end of the flow ( $e$ -contractive), respectively.

**Definition 3.2:** The hybrid system (1) is said to be internally stable if there exists a  $\mathcal{K}$ -function  $\beta$  such that, for any  $w \in \mathcal{L}_2$ ,  $\xi_0 \in \mathbb{R}^{n_\xi}$ ,  $\tau_0 \in [0, 1]$ , and  $\theta_0 \in \mathbb{T}$  any  $(\xi, \theta, z) \in \mathcal{S}(\xi_0, \tau_0, \theta_0, w)$  satisfies

$$\|\xi\|_{\mathcal{L}_2} \leq \beta(\max(|\xi_0|, \|w\|_{\mathcal{L}_2})). \quad (4)$$

If this property holds for  $\tau_0 = 0$ , or  $\tau_0 = 1$ , the system is said to be internally stable from the beginning of the flow ( $b$ -internally stable) or internally stable from the end of the flow ( $e$ -internally stable), respectively.

In the context of lifting, [18], [19], [21], it is important to work with a fixed initial time  $\tau(0)$ , which suggests the consideration of  $b$ -contractivity or  $e$ -contractivity, although from a system theoretic point of view one would be interested in contractivity as this gives the strongest guarantees on the system properties (as (3) holds for any  $\tau(0) \in [0, 1]$ ). Therefore, we study the relationships between these notions.

**Proposition 3.3:** The following statements are equivalent:

- the hybrid system (1) is internally stable;
- the hybrid system (1) is  $b$ -internally stable;
- the hybrid system (1) is  $e$ -internally stable.

**Proposition 3.4:** Consider the following statements:

- a) the hybrid system (1) is contractive;
- b) the hybrid system (1) is  $b$ -contractive;
- c) the hybrid system (1) is  $e$ -contractive.

Then, it holds that

$$(i) \Leftrightarrow (ii) \Rightarrow (iii).$$

Moreover, it holds that (iii) implies (i), if the following condition holds.

- 1) There exists a  $\mathcal{K}$ -function  $\alpha_\theta$  such that for all  $\xi_0 \in \mathbb{R}^{n_\xi}$ , and  $\theta_0 \in \mathbb{T}$  there exists a response  $(\xi', \tau', \theta') \in \mathcal{S}_{z'}(\xi_0, 1, \theta'_0, 0)$  with some  $\xi'_0 \in \mathbb{R}^{n_\xi}$ ,  $\theta' \in \mathbb{T}$  for which

$$\theta'(t^+) = \theta_0, \text{ and } \xi'(t^+) = \xi_0 \quad (5)$$

are satisfied for some  $t \in \mathbb{N}$ , and  $|\xi'_0| \leq \alpha_\theta(|\xi_0|)$ .

Condition (A) can be interpreted as follows. It is possible to reach any combination of state  $\xi_0 \in \mathbb{R}^{n_\xi}$  and mode  $\theta_0 \in \mathbb{T}$  starting at the beginning of the flow ( $\tau = 0$  and, thus,  $t \in \mathbb{N}$ ), from a state  $\xi'_0 \in \mathbb{R}^{n_\xi}$  (with its norm bounded by  $|\xi_0|$ ) and mode  $\theta'_0 \in \mathbb{T}$  starting from the end of the flow ( $\tau = 1$ ) with zero disturbance input, i.e.,  $w \equiv 0$ .

A sufficient condition to guarantee that condition (A) is satisfied is given in the following lemma.

**Lemma 3.5:** If there exists a finite  $N \in \mathbb{N}$ , such that (5) is satisfied with  $t \leq N$  for each  $\xi_0 \in \mathbb{R}^{n_\xi}$  and  $\theta_0 \in \mathbb{T}$ , then a sufficient condition to guarantee  $|\xi'_0| \leq \alpha_\theta(|\xi_0|)$  is as follows.

- 1) For each jump map  $\Phi_\theta$ ,  $\theta \in \mathbb{T}$ , there exists a  $\mathcal{K}$ -function  $\alpha_\theta$  such that for all  $\xi_0 \in \mathbb{R}^{n_\xi}$  there is a  $\xi'_0 \in \mathbb{R}^{n_\xi}$  with  $\Phi_\theta(\xi'_0) = \xi_0$  and  $|\xi'_0| \leq \alpha_\theta(|\xi_0|)$ .

**Remark 3.6:** In the literature, only the notion  $e$ -contractivity is studied for systems as in (1), see, e.g., [3]. The results of Proposition III.4 show that the notions of contractivity and  $b$ -contractivity lead to stronger conclusions.

**Remark 3.7:** Note that for each system (1) an equivalent system can be determined for which  $e$ -contractivity coincides with  $b$ -contractivity of the original system. The equivalent system extends the original system with an initial jump equal to identity, i.e.,  $\Phi_{\theta_{\text{init}}}(\xi) = \xi$ , corresponding to an initial mode  $\theta_{\text{init}}$ , which is solely active at the  $t = 0$ . This equivalent system allows to analyze  $b$ -contractivity of the original system as  $e$ -contractivity of the equivalent system.

#### IV. APPLICATIONS

In this section, we show that systems such as periodic event-triggered control with varying delays and self-triggered control are captured by (1). To do so, first we introduce a modelling framework similar to (1) with varying interjump times. Due to the latter feature, the modeling framework captures aperiodic systems such as systems with varying delays and self-triggered control systems. We will show that, for each of the systems that is captured in this modelling framework, a system of the form (1) exists with identical internal stability and contractivity properties.

##### A. Modelling Framework

In this section, we show that systems similar to (1), which include varying timer deadlines, can be reduced to a system of the form (1) with equal internal stability and contractivity properties. Consider the hybrid systems of the form

$$\frac{d}{dt} \begin{bmatrix} \xi \\ \tau \\ l \\ \sigma \end{bmatrix} = \begin{bmatrix} A_\sigma \xi + B_\sigma w \\ 1 \\ 0 \\ 0 \end{bmatrix}, \text{ when } \tau \in [0, h_l] \quad (6a)$$

$$\begin{bmatrix} \xi^+ \\ \tau^+ \\ l^+ \\ \sigma^+ \end{bmatrix} \in \phi(o) \times \{0\} \times L(o) \times \psi(o), \text{ when } \tau = h_l \quad (6b)$$

$$z = C_\sigma \xi + D_\sigma w. \quad (6c)$$

The states of this hybrid system consist of  $\xi \in \mathbb{R}^{n_\xi}$ , a timer variable  $\tau \in \mathbb{R}_{\geq 0}$ , the timer deadline mode  $l \in \mathbb{L}$ , with  $\mathbb{L} : \{1, 2, \dots, n_h\}$ ,  $n_h \in \mathbb{N}$ , and the flow mode  $\sigma \in \mathbb{S}$  with  $\mathbb{S} \subseteq \mathbb{N}$ . The set of time deadlines is denoted by  $\mathbb{H} = \{h_1, h_2, \dots, h_{n_h}\}$  where  $h_l \in \mathbb{R}_{>0}$  for all  $l \in \mathbb{L}$ . The variable  $w \in \mathbb{R}^{n_w}$  denotes the disturbance input and  $z \in \mathbb{R}^{n_z}$  the performance output. Moreover,  $A_\sigma, B_\sigma, C_\sigma, D_\sigma, \sigma \in \mathbb{S}$ , are constant real matrices of appropriate dimensions. When  $\tau$  reaches  $h_l$  the state of the system undergoes a jump according to the set-valued mapping in (6b), where  $o := (\xi, l, \sigma) \rightarrow \mathbb{R}^{n_\xi} \times \mathbb{L} \times \mathbb{S}$ . The state  $\xi$  jumps according to  $\phi : \mathbb{R}^{n_\xi} \times \mathbb{L} \times \mathbb{S} \rightrightarrows \mathbb{R}^{n_\xi}$ , an arbitrary nonlinear set-valued and possibly discontinuous map with  $\phi(0, l, \sigma) = \{0\}$ , for  $l \in \mathbb{L}$ ,  $\sigma \in \mathbb{S}$ . The timer deadline mode  $l$  jumps according to  $L : \mathbb{R}^{n_\xi} \times \mathbb{L} \times \mathbb{S} \rightrightarrows \mathbb{L}$ , and the flow mode  $\sigma$  jumps according to  $\psi : \mathbb{R}^{n_\xi} \times \mathbb{L} \times \mathbb{S} \rightrightarrows \mathbb{S}$ . In between the jumps, the system flows according to the differential equation (6a).

In order to determine a system with equal internal stability and contractivity properties of the form (1), the matrices  $A_\sigma, B_\sigma, C_\sigma, D_\sigma$  should be scaled, for each  $\sigma \in \mathbb{S}$ , such that each timer deadline  $h_l \in \mathbb{H}$  is transformed to 1. This gives rise to the idea to define a mode  $\theta$  for each unique combination of the flow mode  $\sigma$  and timer deadline mode  $l$ , i.e.,  $\mathbb{T} := \mathbb{N}$  when  $\mathbb{L}$  or  $\mathbb{S}$  (or both) are countable otherwise  $\mathbb{T} := n_h \times \text{card}(\mathbb{S})$ . Using this definition for the mode  $\theta$  a bijection mapping  $T : \mathbb{L} \times \mathbb{S} \rightarrow \mathbb{T}$  can be defined to determine the mode  $\theta$  corresponding to a given mode  $\sigma \in \mathbb{S}$  and timer deadline mode  $l \in \mathbb{L}$ . In the same manner, we can define the mappings  $\mu : \mathbb{T} \rightarrow \mathbb{L}$  and  $\nu : \mathbb{T} \rightarrow \mathbb{S}$  to determine the timer deadline mode  $l$  and flow mode  $\sigma$  corresponding to a given mode  $\theta \in \mathbb{T}$ , respectively. These mappings satisfy the following equalities:

$$\theta = T(\mu(\theta), \nu(\theta)) \quad (7a)$$

$$l = \mu(T(l, \sigma)) \quad (7b)$$

$$\sigma = \nu(T(l, \sigma)) \quad (7c)$$

for all  $\theta \in \mathbb{T}$ ,  $l \in \mathbb{L}$ , and  $\sigma \in \mathbb{S}$ .

We now have the following proposition.

**Proposition 4.1:** Consider system (6) with given sets  $\mathbb{H}$ ,  $\mathbb{L}$ , and  $\mathbb{S}$  and corresponding matrices  $A_\sigma, B_\sigma, C_\sigma$  and  $D_\sigma$ ,  $\sigma \in \mathbb{S}$ , jump maps  $\phi, L$ , and  $\psi$  and the mappings  $T, \mu$ , and  $\nu$  satisfying (7) for  $\theta \in \mathbb{T}$ ,  $l \in \mathbb{L}$ , and  $\sigma \in \mathbb{S}$ . Consider now system (1) with time-scaled matrices

$$\begin{aligned} A_\theta &= h_{\mu(\theta)} A_{\nu(\theta)}, & B_\theta &= \sqrt{h_{\mu(\theta)}} B_{\nu(\theta)} \\ C_\theta &= \sqrt{h_{\mu(\theta)}} C_{\nu(\theta)}, & D_\theta &= D_{\nu(\theta)} \end{aligned} \quad (8)$$

and the jump maps  $\phi_\theta$  and  $\Psi_\theta$  defined as

$$\Phi_\theta(\xi) := \phi(\xi, \mu(\theta), \nu(\theta)) \quad (9a)$$

$$\Psi_\theta(\xi) := T(L(\xi, \mu(\theta), \nu(\theta)), \psi(\xi, \mu(\theta), \nu(\theta))). \quad (9b)$$

Then, the following statement holds.

- 1) The system (6) is internally stable and contractive if and only if system (1) with (8) and (9) is internally stable and contractive.

Exploiting the result of Proposition 4.1 allows to analyze the internal stability and contractivity properties of systems of the form (6) through a corresponding system of the form (1). In the following sections, we

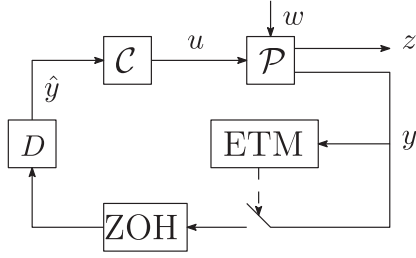


Fig. 1. Schematic representation of an event-triggered control setup with communication delay (block D).

will illustrate that PETC systems with varying delays and self-triggered control systems can be written in the form (6), and, therefore, analysis of the internal stability and contractivity properties of these systems can be performed through systems of the form (1).

### B. Periodic-Event Triggered Control With Varying Delays

In this section, we will show that the PETC setup of Fig. 1 can be written as a system of the form (6).

The plant  $\mathcal{P}$  in the PETC setup [22] of Fig. 1 is given by

$$\mathcal{P} : \begin{cases} \frac{d}{dt} x_p(t) = A_p x_p(t) + B_{pu} u(t) + B_{pw} w(t) \\ y(t) = C_y x_p(t) + D_y u(t) \\ z(t) = C_z x_p(t) + D_z u(t) + D_{zw} w(t) \end{cases} \quad (10)$$

where  $x_p(t) \in \mathbb{R}^{n_{xp}}$  denotes the state of the plant  $\mathcal{P}$ ,  $u(t) \in \mathbb{R}^{n_u}$  the control input,  $w(t) \in \mathbb{R}^{n_w}$  the disturbance,  $y(t) \in \mathbb{R}^{n_y}$  the measured output, and  $z(t) \in \mathbb{R}^{n_z}$  the performance output at time  $t \in \mathbb{R}_{\geq 0}$ . This plant is controlled in an event-triggered feedback fashion using

$$\mathcal{C} : \begin{cases} \frac{d}{dt} x_c(t) = A_c x_c(t) + B_c \hat{y}(t) \\ u(t) = C_u x_c(t) + D_u \hat{y}(t) \end{cases} \quad (11)$$

where  $x_c(t) \in \mathbb{R}^{n_{xc}}$  denotes the state of the controller  $\mathcal{C}$ , and  $\hat{y}(t) \in \mathbb{R}^{n_y}$  represents the most recently received measurement of the output  $y$  available at the controller at time  $t \in \mathbb{R}_{\geq 0}$ . In particular,  $\hat{y}(t)$  is given for  $t \in (\bar{t}_m + d_m, \bar{t}_{m+1} + d_{m+1}]$ ,  $m \in \mathbb{N}$ , by

$$\hat{y}(t) = \begin{cases} y(\bar{t}_m), & \text{when } g(\zeta(\bar{t}_m)) > 0 \\ \hat{y}(\bar{t}_m), & \text{when } g(\zeta(\bar{t}_m)) \leq 0 \end{cases} \quad (12)$$

where  $\zeta := [y^\top \ \hat{y}^\top]^\top \in \mathbb{R}^{n_y}$  is the information available at the event-triggering mechanism (ETM) and  $\bar{t}_m$ ,  $m \in \mathbb{N}$ , are the sampling times, which are periodic in the sense that  $\bar{t}_m = m\bar{h}$ ,  $m \in \mathbb{N}$ , with  $\bar{h}$  the sampling period. A communication delay is present and denoted by  $d_m \in \{\tau_1, \tau_2, \dots, \tau_{n_d}\}$ , with  $n_d \in \mathbb{N}$  the number of possible delays. The number of delays could also be countable, however for ease of exposition we take it finite here. Each delay  $\tau_i$ ,  $i \in \{1, 2, \dots, n_d\}$ , satisfies the small delay assumption, i.e.,  $\tau_i < \bar{h}$ ,  $i \in \{1, 2, \dots, n_d\}$ . Note that (12) expresses that based on  $\zeta(\bar{t}_m)$  the ETM decides at each time  $\bar{t}_m$ ,  $m \in \mathbb{N}$ , using the relation  $g$  in (12), whether the measured output is transmitted to the controller or not. An example of a triggering condition is  $|\hat{y}(\bar{t}_m) - y(\bar{t}_m)| > \sigma |y(\bar{t}_m)|$  for some  $\sigma \in (0, 1)$ , which translates in terms of (12) to

$$g(\zeta) = |\hat{y} - y|^2 - \sigma^2 |y|^2$$

although many other devices for  $g$  are possible as well (possibly involving auxiliary variables) are possible as well. Note that even though the system has a constant sampling period  $\bar{h}$ , the delay causes unequal

interjump times (related to a transmission on  $\bar{t}_m$  and an update of  $\bar{y}$  on  $\bar{t}_m + d_m$ ,  $m \in \mathbb{N}$ ).

To show that the system can be written in the form (6), each sample will contain two jumps, one at  $t_m$  to model the transmission of the ETM, and one at  $t_m + d_m$  to update the measurement output to the controller. Hence, we can define the timer deadlines as

$$\begin{aligned} h_i &:= d_i, \quad i = 1, \dots, n_d \\ h_i &:= \bar{h} - d_i, \quad i = n_d + 1, \dots, 2n_d \end{aligned} \quad (13)$$

with corresponding set  $\mathbb{L} := \{1, 2, \dots, 2n_d\}$ .

In order to write the PETC system in the form (6), define a mode  $\sigma \in \{1, 2\}$  where  $\sigma = 1$  corresponds to a transmission and  $\sigma = 2$  corresponds to an update. The jump maps  $L$ ,  $\psi$ , and  $\phi$  can now be defined as

$$L(\sigma, l) \in \begin{cases} \{1, 2, \dots, n_d\}, & \text{when } \sigma = 1 \\ \{l + n_d\}, & \text{when } \sigma = 2 \end{cases} \quad (14)$$

$$\psi(\sigma) \in \begin{cases} \{2\}, & \text{when } \sigma = 1 \\ \{1\}, & \text{when } \sigma = 2 \end{cases} \quad (15)$$

$$\phi(\xi, \sigma) = \begin{cases} \phi_t(\xi), & \text{when } \sigma = 1 \\ \phi_u(\xi), & \text{when } \sigma = 2. \end{cases} \quad (16)$$

The jump map  $\psi$  ensures the alternation between the two modes, the jump map  $L$  ensures an interjump time corresponding to a delay, or the remainder of a sample, depending on the mode, the jump map  $\phi$  models the update, where  $\phi_t$  models the jumps at transmission times  $\bar{t}_m$ ,  $m \in \mathbb{N}$ , and  $\phi_u$  models the jumps at update times  $\bar{t}_m + d_m$ ,  $m \in \mathbb{N}$ , (when transmitted data arrives at the controller). For this sequence of interjump times the initialisation  $\tau(0) = 0$ ,  $\sigma(0) = 1$  corresponds to the start of the flow, before a transmission. The maps  $\phi_u$  and  $\phi_t$  will be defined below after introducing a few auxiliary variables.

We first introduce the memory variable  $s \in \mathbb{R}^{n_y}$  to store, at time  $\bar{t}_m$ , the value of the transmitted data  $y(\bar{t}_m)$  to be used during an update at time  $\bar{t}_m + d_m$ ,  $m \in \mathbb{N}$ . This leads to the overall state  $\xi := [x_p^\top \ x_c^\top \ \hat{y}^\top \ s^\top]^\top \in \mathbb{R}^{n_\xi}$  with  $n_\xi = n_{xp} + n_{xc} + 2n_y$ . We also, define the matrix  $Y \in \mathbb{R}^{2n_y \times n_\xi}$  as

$$Y := \begin{bmatrix} C_y & D_y C_u & D_y D_u & O \\ O & O & I & O \end{bmatrix} \quad (17)$$

such that  $\zeta = Y\xi$ .

Combining (10)–(12), we can write the system in the form of (6) with

$$A = \begin{bmatrix} A_p & B_{pu} C_u & B_{pu} D_u & O \\ O & A_c & B_c & O \\ O & O & O & O \\ O & O & O & O \end{bmatrix}, \quad B = \begin{bmatrix} B_{pw} \\ O \\ O \\ O \end{bmatrix} \quad (18)$$

$$C = [C_z \ D_z C_u \ D_z D_u \ O], \quad D = D_{zw}.$$

The update map  $\phi_u$  is a linear map given for  $\xi \in \mathbb{R}^{n_\xi}$  by

$$\phi_u(\xi) = \{J_0 \xi\}, \quad \text{with } J_0 = \begin{bmatrix} I_{n_{xp}} & O & O & O \\ O & I_{n_{xc}} & O & O \\ O & O & O & I_{n_y} \\ O & O & O & I_{n_y} \end{bmatrix} \quad (19)$$



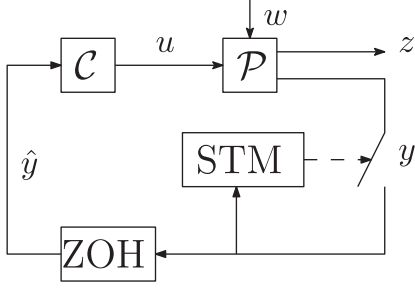


Fig. 2. Schematic representation of a self-triggered control setup.

and the transmission map  $\phi_t$  is a piecewise linear map given for  $\xi \in \mathbb{R}^{n_\xi}$  by

$$\phi_t(\xi) = \begin{cases} \{J_1\xi\}, & \text{when } g(Y\xi) > 0 \\ \{J_2\xi\}, & \text{when } g(Y\xi) \leq 0 \end{cases} \quad (20)$$

$$J_1 = \begin{bmatrix} I_{n_{x_p}} & O & O & O \\ O & I_{n_{x_c}} & O & O \\ O & O & I_{n_y} & O \\ C_y & D_y C_u & D_y D_u & O \end{bmatrix} \text{ and } J_2 = I_{n_\xi}.$$

Hence, the PETC system with varying delay is now written in the form (6) with data given by (14), (16), (18), (19), and (20). Note that the addition of both the time-varying interjump times and jump map in (6), enables to model the varying transmission delay, which was not possible using the setup in [3]. The applications mentioned in [3] can also be put in this framework with the inclusion of delays in a similar fashion.

### C. Self-Triggered Control

Similar to PETC abovementioned, we will show that the STC setup of Fig. 2 can be written in a system of the form (6).

The plant and controller  $C$  are defined by (10) and (11), respectively. The most recent received measurement of the output  $y$  at the controller is denoted by  $\hat{y}(t) \in \mathbb{R}^{n_y}$ ,  $t \in \mathbb{R}_{\geq 0}$ . The self-triggering mechanism decides, based on  $\hat{y}(t_k)$  (or any other information that might be locally available), the next update time  $t_{k+1}$  for which the value of  $\hat{y}$  is updated, using  $t_{k+1} = t_k + L_s(\hat{y}(t_k))$ ,  $k \in \mathbb{N}_{\geq 1}$ , where the map  $L_s : \mathbb{R}^{n_y} \rightarrow \{\bar{h}_1, \dots, \bar{h}_{n_h}\}$ . There are several possibilities for  $L_s$ , one concrete example being given in [17], where a STC is introduced with map  $L_s$  given by

$$L_s(\hat{y}) = \arg \min_{i \in \{1, 2, \dots, n_h\}} \hat{y}^\top R_i \hat{y} \quad (21)$$

for some given positive definite matrices  $R_i$ ,  $i \in \{1, 2, \dots, n_h\}$ . In particular  $\hat{y}(t)$  is given by

$$\hat{y}(t) = y(t_k) \text{ for } t \in (t_k, t_{k+1}], k \in \mathbb{N}. \quad (22)$$

In order to write the STC system in the form (6), the overall state is defined as  $\xi := \begin{bmatrix} x_p^\top & x_c^\top & \hat{y}^\top \end{bmatrix}^\top \in \mathbb{R}^{n_\xi}$  with  $n_\xi = n_{x_p} + n_{x_c} + n_y$ , and the matrix  $Y \in \mathbb{R}^{2n_y \times n_\xi}$  is defined as

$$Y := \begin{bmatrix} O & O & I \end{bmatrix} \quad (23)$$

such that  $\hat{y} = Y\xi$ .

Combining (10), (11), (22), the STC system can be written in the form of (6) with

$$A = \begin{bmatrix} A_p & B_p C_u & B_p D_u \\ O & A_c & B_c \\ O & O & O \end{bmatrix}, \quad B = \begin{bmatrix} B_{pw} \\ 0 \\ 0 \end{bmatrix}$$

$$C = \begin{bmatrix} C_z & D_z C_u & D_z D_u \end{bmatrix}, \quad D = D_{zw}. \quad (24)$$

Note that there is no dependency on the mode variable  $\sigma$ , hence, this variable is omitted. The update map is a linear map  $\phi : \mathbb{R}^{n_\xi} \rightarrow \mathbb{R}^{n_\xi}$

$$\phi(\xi) = J\xi \quad (25)$$

with

$$J = \begin{bmatrix} I_{n_{x_p}} & O & O \\ O & I_{n_{x_c}} & O \\ C_z & D_z C_u & D_z D_u \end{bmatrix}.$$

Finally, the map  $L(\xi)$  is defined as  $L(\xi) := L_s(Y\xi)$  with  $L_s$  as in (21). Hence, the STC system is now written in the form (6).

### V. INTERNAL STABILITY AND $\mathcal{L}_2$ -GAIN ANALYSIS

In this section, we will analyze the  $\mathcal{L}_2$ -gain and the internal stability of (1) using ideas from lifting [2], [18]–[21]. As already indicated, in lifting  $\tau(0)$  has to be a fixed value and natural candidates are  $\tau(0) = 0$  or  $\tau(0) = 1$ .

#### A. Lifting

To study  $b$ -internal stability and  $b$ -contractivity the lifting operator  $W : \mathcal{L}_{2,e}[0, \infty) \rightarrow \ell(\mathcal{K})$  with  $\mathcal{K} = \mathcal{L}_2[0, 1]$  given for  $w \in \mathcal{L}_{2,e}[0, \infty)$  by  $W(w) = \tilde{w} = (\tilde{w}_0, \tilde{w}_1, \tilde{w}_2, \dots)$  with

$$\tilde{w}_k(s) = w(k+s) \text{ for } s \in [0, 1] \quad (26)$$

for  $k \in \mathbb{N}$ . Obviously,  $W$  is a linear isomorphism mapping  $\mathcal{L}_{2,e}[0, \infty)$  into  $\ell(\mathcal{K})$  and moreover,  $W$  is isometric as a mapping from  $\mathcal{L}_2[0, \infty)$  to  $\ell_2(\mathcal{K})$ . Using the lifting operator  $W$ , and by assuming  $\tau(0) = 0$ , in line with  $b$ -internal stability and  $b$ -contractivity, the model in (1) can be rewritten as

$$\xi_{k+1}^- = \hat{A}_{\theta_k} \xi_k + \hat{B}_{\theta_k} \tilde{w}_k \quad (27a)$$

$$\xi_{k+1} \in \Phi_{\theta_k}(\xi_{k+1}^-) \quad (27b)$$

$$\theta_{k+1} \in \Psi_{\theta_k}(\xi_{k+1}^-) \quad (27c)$$

$$\tilde{z}_k = \hat{C}_{\theta_k} \xi_k + \hat{D}_{\theta_k} \tilde{w}_k \quad (27d)$$

in which  $\xi_0$  and  $\theta_0$  are given, and  $\tilde{w} = (\tilde{w}_0, \tilde{w}_1, \tilde{w}_2, \dots) = W(w) \in \ell_2(\mathcal{K})$  and  $\tilde{z} = (\tilde{z}_0, \tilde{z}_1, \tilde{z}_2, \dots) = W(z) \in \ell(\mathcal{K})$ . Assuming all signals are left continuous, we use the following notations for a signal  $\alpha(t)$ ,  $\alpha_k^- = \alpha(k^-) = \lim_{s \uparrow k} \alpha(s) = \alpha(k)$  and  $\alpha_k = \alpha(k^+) = \lim_{s \downarrow k} \alpha(s)$ . Moreover

$$\hat{A}_\theta : \mathbb{R}^{n_\xi} \rightarrow \mathbb{R}^{n_\xi} \quad \hat{B}_\theta : \mathcal{K} \rightarrow \mathbb{R}^{n_\xi}$$

$$\hat{C}_\theta : \mathbb{R}^{n_\xi} \rightarrow \mathcal{K} \quad \hat{D}_\theta : \mathcal{K} \rightarrow \mathcal{K}$$

are given for  $x \in \mathbb{R}^{n_\xi}$  and  $\omega \in \mathcal{K}$  by

$$\hat{A}_\theta x = e^{A_\theta} x \quad (28a)$$

$$\hat{B}_\theta \omega = \int_0^1 e^{A_\theta(1-s)} B_\theta \omega(s) ds \quad (28b)$$

$$(\hat{C}_\theta x)(\varepsilon) = C e^{A_\theta \varepsilon} x \quad (28c)$$

$$(\hat{D}_\theta \omega)(\varepsilon) = \int_0^\varepsilon C_\theta e^{A_\theta(\varepsilon-s)} B_\theta \omega(s) ds + D_\theta w(\varepsilon) \quad (28d)$$

where  $\varepsilon \in [0, 1]$ , for all  $\theta \in \mathbb{T}$ . The set of maximal solutions from (27) starting from  $\xi_0$ ,  $\theta_0$  and driven by  $\tilde{w} \in \ell_2(\mathcal{K})$ , will be denoted with  $\mathcal{S}_b(\xi_0, \theta_0, \tilde{w})$ . Note that for each solution in the set  $\mathcal{S}(\xi_0, 0, \theta_0, w)$  there is a corresponding solution in the set  $\mathcal{S}_b(\xi_0, \theta_0, \tilde{w})$  and vice versa.

In the same manner as for  $b$ -internal stability and  $b$ -contractivity, the lifting operator  $W$  can be used to study  $e$ -internal stability and  $e$ -contractivity. Assuming  $\tau(0) = 1$  in line with  $e$ -internal stability and  $e$ -contractivity and using the operators (28) system (1) can be rewritten as

$$\xi'_{k+1} = \hat{A}_{\theta'_{k+1}} \xi_k^{'+} + \hat{B}_{\theta'_{k+1}} \tilde{w}'_k \quad (29a)$$

$$\xi_k^{'+} \in \Phi_{\theta'_k}(\xi'_k) \quad (29b)$$

$$\theta'_{k+1} = \theta_k^{'+} \in \Psi_{\theta'_k}(\xi'_k) \quad (29c)$$

$$\tilde{z}'_k = \hat{C}_{\theta'_{k+1}} \xi_k^{'+} + \hat{D}_{\theta'_{k+1}} \tilde{w}'_k \quad (29d)$$

in which  $\xi_0$  and  $\theta_0$  are given, and  $\tilde{w} = (\tilde{w}_0, \tilde{w}_1, \tilde{w}_2, \dots) = W(w) \in \ell_2(\mathcal{K})$  and  $\tilde{z} = (\tilde{z}_0, \tilde{z}_1, \tilde{z}_2, \dots) = W(z) \in \ell(\mathcal{K})$ . For this system, we use the following notations for a signal  $\alpha'(t)$ ,  $\alpha'_k = \alpha'(k^-) = \lim_{s \uparrow k} \alpha'(s) = \alpha'(k)$  and  $\alpha_k^{'+} = \alpha'(k^+) = \lim_{s \downarrow k} \alpha'(s)$ .

The set of maximal solutions from (29) starting from  $\xi'_0$ ,  $\theta'_0$  and driven by  $\tilde{w}' \in \ell_2(\mathcal{K})$ , will be denoted with  $\mathcal{S}'_e(\xi'_0, \theta'_0, \tilde{w}')$ . Once more, note that for each solution in the set  $\mathcal{S}(\xi'_0, 1, \theta'_0, w')$  there is a corresponding solution in the set  $\mathcal{S}'_e(\xi'_0, \theta'_0, \tilde{w}')$  and vice versa.

By writing the solutions of (1) explicitly, and then comparing to the formulas (28) and using that  $W$  is an isometric isomorphism, it follows that (29) is contractive if and only if (1) is  $e$ -contractive. Moreover, by extending Proposition 4.1 of [3], we can establish the following proposition.

*Proposition 5.1:* The following statements hold.

- 1) The hybrid system (1) is ( $b$ -)internally stable and ( $b$ -)contractive if and only if (27) is internally stable and contractive.
- 2) The hybrid system (1) is  $e$ -internally stable and  $e$ -contractive if and only if (29) is internally stable and contractive.
- 3) Moreover, in case (1) is internally stable, it also holds that  $\lim_{t \rightarrow \infty} \xi(t) = 0$  and  $\|\xi\|_{\mathcal{L}_\infty} \leq \beta(\max(\|\xi_0\|, \|w\|_{\mathcal{L}_\infty}))$  for all  $w \in \mathcal{L}_2$ ,  $\xi(0) = \xi_0$  and  $\tau(0) \in [0, 1]$ .

## B. Main Result

The following result is an extension of the main result of [3]. Note that a necessary condition for (1) to be  $e$ -contractive is that the induced gains  $\|\hat{D}_{\theta_k}\|_{\mathcal{L}_2[0,1]} < 1$  for all  $k > 0$ , and a necessary condition for  $b$ -contractivity of (1) is that the induced gains  $\|\hat{D}_{\theta_k}\|_{\mathcal{L}_2[0,1]} < 1$  for all  $k \geq 0$ . Note that for  $e$ -contractivity there is no bound  $\|\hat{D}_{\theta_0}\|_{\mathcal{L}_2[0,1]} < 1$ , as the section  $t \in [0, 1]$  does not play any role. From this it is easy to come up with an example of a system, which is  $e$ -contractive, but is not  $b$ -contractive

*Example 5.2:* Consider the sequence of modes  $\{\theta_k\}_{k \in \mathbb{N}}$  such that  $\|\hat{D}_{\theta_k}\|_{\mathcal{L}_2[0,1]} < 1$ ,  $k \in \mathbb{N}_{\geq 1}$ , and  $\|\hat{D}_{\theta_0}\|_{\mathcal{L}_2[0,1]} \geq 1$  holds. From the necessary conditions mentioned previously, it is clear that this system can be  $e$ -contractive, (e.g., if it is satisfied the condition of Theorem V.3 below) but not  $b$ -contractive.

*Theorem 5.3:* Consider system (1) and its  $b$ -lifted version (27) with  $\|\hat{D}_{\theta_k}\|_{\mathcal{K}} < 1$  for all  $\theta_k \in \mathbb{T}$  and  $k \geq 0$  and its  $e$ -lifted version (29) with  $\|\hat{D}_{\theta_k}\|_{\mathcal{K}} < 1$  for all  $\theta_k \in \mathbb{T}$  and  $k > 0$ . Define the discrete-time nonlinear systems

$$\bar{\xi}_{k+1} = \underline{A}_{\bar{\theta}_k} \bar{\xi}_k + \underline{B}_{\bar{\theta}_k} v_k \quad (30a)$$

$$\bar{\xi}_{k+1} \in \phi_{\bar{\theta}_k}(\bar{\xi}_{k+1}) \quad (30b)$$

$$\bar{\theta}_{k+1} \in \Psi_{\bar{\theta}_k}(\bar{\xi}_{k+1}) \quad (30c)$$

$$r_k = \underline{C}_{\bar{\theta}_k} \bar{\xi}_k \quad (30d)$$

and

$$\bar{\xi}'_{k+1} = \underline{A}_{\bar{\theta}'_{k+1}} \bar{\xi}'_k + \underline{B}_{\bar{\theta}'_{k+1}} v'_k \quad (31a)$$

$$\bar{\xi}'_k \in \phi_{\bar{\theta}'_{k+1}}(\bar{\xi}'_k) \quad (31b)$$

$$\bar{\theta}'_{k+1} = \bar{\theta}'_k \in \Psi_{\bar{\theta}'_{k+1}}(\bar{\xi}'_k) \quad (31c)$$

$$r'_k = \underline{C}_{\bar{\theta}'_{k+1}} \bar{\xi}'_k \text{ with} \quad (31d)$$

$$\underline{A}_\theta = \hat{A}_\theta + \hat{B}_\theta \hat{D}_\theta^* (I - \hat{D}_\theta \hat{D}_\theta^*)^{-1} \hat{C}_\theta \quad (32a)$$

and  $\underline{B}_\theta \in \mathbb{R}^{n_\xi \times n_v}$  and  $\underline{C}_\theta \in \mathbb{R}^{n_r \times n_\xi}$  are chosen such that

$$\begin{aligned} \underline{B}_\theta \underline{B}_\theta^\top &= \bar{B}_\theta \bar{B}_\theta^\top = \hat{B}_\theta (I - \hat{D}_\theta^* \hat{D}_\theta)^{-1} \hat{B}_\theta^* \text{ and} \\ \underline{C}_\theta^\top \underline{C}_\theta &= \bar{C}_\theta^\top \bar{C}_\theta = \hat{C}_\theta^* (I - \hat{D}_\theta \hat{D}_\theta^*)^{-1} \hat{C}_\theta. \end{aligned} \quad (32b)$$

- 1) The system (1) is ( $b$ -)internally stable and ( $b$ -)contractive if and only if (30) is internally stable and contractive.
- 2) The system (1) is  $e$ -internally stable and  $e$ -contractive if and only if (31) is internally stable and contractive.

This main result can be explored to analyze systems such as PETC and STC using a discrete-time nonlinear system, of the form (30) or (31). A worked out example for the case of PETC with varying delays can be found in [4].

## VI. CONCLUSION

In this article, we studied the internal stability and  $\mathcal{L}_2$ -gain of hybrid systems with mode-dependent linear flow dynamics, periodic flow times, and time-varying nonlinear set-valued jump maps. This class of hybrid systems is relevant for various applications such as PETC, STC, NCS (that possibly include communication delays). We introduced new notions of internal stability and contractivity depending on the initial timer conditions. It is concluded that all three internal stability notions are equivalent. However, the notion of contractivity from the end of the flow ( $e$ -contractivity) as adopted in [2] and [3] appears to be a weaker notion than the other two notions ( $b$ -contractivity and contractivity). We established that the internal stability and contractivity properties of these hybrid systems are equivalent to the internal stability and contractivity of an appropriate time-varying discrete-time nonlinear system.

## APPENDIX

*Proof of Proposition 3.3:* (a)  $\Rightarrow$  (b), (a)  $\Rightarrow$  (c) are immediate from  $\{0\} \subseteq [0, 1]$ ,  $\{1\} \subseteq [0, 1]$ , respectively.

(b)  $\Rightarrow$  (a) To prove this statement, we assume  $b$ -internal stability as described in Definition 3.2 for some  $\mathcal{K}$ -function  $\beta'$ . Let  $\xi_0 \in \mathbb{R}^{n_\xi}$ ,  $\tau_0 \in [0, 1]$ , and  $w \in \mathcal{L}_2$  be given and consider  $(\xi, \tau, \theta) \in \mathcal{S}_z(\xi_0, \tau_0, \theta_0, w)$ . Consider now  $w' \in \mathcal{L}_2$  defined as

$$w'(t) = \begin{cases} 0, & t \in [0, \tau_0) \\ w(t - \tau_0), & t \geq \tau_0. \end{cases} \quad (33)$$

Let  $(\xi', \tau', \theta') \in \mathcal{S}_{z'}(\xi'_0, 0, \theta'_0, w')$  for some arbitrary  $\xi'_0 \in \mathbb{R}^{n_\xi}$  (for now) and  $\theta'_0 = \theta_0$ . Note that  $\|w\|_{\mathcal{L}_2} = \|w'\|_{\mathcal{L}_2}$ . For all  $t \in [0, \tau_0]$  the response  $(\xi', \tau', \theta')$  satisfies

$$(\xi'(t), \tau'(t), \theta'(t)) = (e^{A_{\theta_0} t} \xi'_0, \tau'(t + \tau_0), \theta'_0). \quad (34)$$

Using this, by taking  $\xi'_0 = e^{-A\theta_0\tau_0}\xi_0$  we know that there exists a  $(\xi', \tau', \theta'_0) \in \mathcal{S}_{z'}(\xi'_0, 0, \theta'_0, w')$  such that

$$(\xi(t), \tau'(t), \theta(t)) = (\xi'(t + \tau_0), \tau'(t + \tau_0), \theta'(t + \tau_0)) \quad (35)$$

for  $t \in \mathbb{R}_{\geq 0}$ . Hence,  $\|\xi\|_{\mathcal{L}_2} \leq \|\xi'\|_{\mathcal{L}_2}$ . Using Definition 3.2 for  $b$ -internal stability of the response  $(\xi', \theta', z')$  gives

$$\begin{aligned} \|\xi\|_{\mathcal{L}_2} &\leq \|\xi'\|_{\mathcal{L}_2} \leq \beta'(\max(|\xi'_0|, \|w'\|_{\mathcal{L}_2})) \\ &= \beta'(\max(|\xi'_0|, \|w\|_{\mathcal{L}_2})). \end{aligned} \quad (36)$$

Using that

$$\max_{\tau_0 \in [0, 1]} \|e^{-A\theta_0\tau_0}\| \leq c \quad (37)$$

for some  $c > 1$ , we get that  $|\xi'_0| \leq c|\xi_0|$ . Hence, from (36) we obtain for  $\mathcal{K}$ -function  $\beta$  given by  $\beta(r) = \beta'(cr)$ ,  $r \geq 0$ , that

$$\|\xi\|_{\mathcal{L}_2} \leq \beta(\max(|\xi_0|, \|w\|_{\mathcal{L}_2})) \quad (38)$$

which establishes internal stability of system (1), as (4) holds.

(c)  $\Rightarrow$  (b) To prove this statement, we assume  $e$ -internal stability as described in Definition 3.2 for some  $\mathcal{K}$ -function  $\beta'$ . Let  $\xi_0 \in \mathbb{R}^{n_\xi}$ ,  $w \in \mathcal{L}_2$  and consider  $(\xi, \tau, \theta) \in \mathcal{S}_z(\xi_0, 0, \theta_0, w)$ . Consider now  $w', \bar{w} \in \mathcal{L}_2$  defined as

$$w'(t) = w(1+t), \quad t \in \mathbb{R}_{\geq 0} \quad (39)$$

and

$$\bar{w}(t) = \begin{cases} w(t), & t \in [0, 1] \\ 0, & t \in (1, \infty). \end{cases} \quad (40)$$

Note that we have  $\|w\|_{\mathcal{L}_2}^2 = \|\bar{w}\|_{\mathcal{L}_2}^2 + \|w'\|_{\mathcal{L}_2}^2$ . In line with the definitions of  $w'$  and  $\bar{w}$  we define  $\xi'$  and  $\bar{\xi}$  such that

$$\|\xi\|_{\mathcal{L}_2}^2 = \|\bar{\xi}\|_{\mathcal{L}_2}^2 + \|\xi'\|_{\mathcal{L}_2}^2. \quad (41)$$

*Part 1:* First, we establish that both  $|\xi'_0|$  and  $\|\bar{\xi}\|_{\mathcal{L}_2}$  are bounded by  $|\xi_0|$  and  $\|w\|_{\mathcal{L}_2}$  where

$$\xi'_0 = e^{A\theta_0}\xi_0 + N_{\theta_0}(\bar{w}) \quad (42)$$

with  $N_\theta : \mathcal{L}_2 \rightarrow \mathbb{R}^{n_\xi}$  given for  $\omega \in \mathcal{L}_2$  by

$$N_\theta\omega = \int_0^1 e^{A\theta(1-\tau)} B_\theta\omega(\tau) d\tau. \quad (43)$$

Note that  $N_\theta, \theta \in \mathbb{T}$  are bounded linear operators and  $\|e^{A\theta}\| < c_1, \forall \theta \in \mathbb{T}$  for some  $c_1 > 0$ , this gives

$$|\xi'_0| \leq c_1|\xi_0| + c_2\|\bar{w}\|_{\mathcal{L}_2} \leq c_1|\xi_0| + c_2\|w\|_{\mathcal{L}_2} \quad (44)$$

for some  $c_2 > 0$ . Next, we define the operators  $\hat{M}_\theta : \mathbb{R}^{n_\xi} \rightarrow \mathcal{L}_2[0, 1]$  and  $\hat{N}_\theta : \mathcal{L}_2[0, 1] \rightarrow \mathcal{L}_2[0, 1]$  given for  $x \in \mathbb{R}^{n_\xi}$  and  $\omega \in \mathcal{L}_2[0, 1]$  by

$$(\hat{M}_\theta x)(s) = e^{A\theta s}x, \quad (\hat{N}_\theta\omega)(\theta) = \int_0^s e^{A\theta(s-\tau)} B_\theta\omega(\tau) d\tau \quad (45)$$

with  $s \in [0, 1]$ , such that

$$\bar{\xi} = \hat{M}_{\theta_0}\xi_0 + \hat{N}_{\theta_0}\bar{w}. \quad (46)$$

Note that  $\hat{M}_\theta$  and  $\hat{N}_\theta$  are bounded linear operators for all  $\theta \in \mathbb{T}$ . Hence

$$\begin{aligned} \|\bar{\xi}\|_{\mathcal{L}_2[0, 1]} &\leq c_3|\xi_0| + c_4\|\bar{w}\|_{\mathcal{L}_2[0, 1]} \\ &\leq c_3|\xi_0| + c_4\|w\|_{\mathcal{L}_2} \end{aligned} \quad (47)$$

for some  $c_3, c_4 > 0$ .

*Part 2:* Using the results of Part 1, we will show that the responses  $(\xi, \tau, \theta)$  satisfies (4). Note that for this choice of  $\xi'_0$ , and  $w'$  there is a  $(\xi', \tau', \theta') \in \mathcal{S}_{z'}(\xi'_0, 1, \theta'_0, w')$  such that

$$(\xi(t+1), \tau(t+1), \theta(t+1)) = (\xi'(t), \tau'(t), \theta'(t)) \quad (48)$$

for  $t \in \mathbb{R}_{\geq 0}$ . From  $e$ -internal stability we get

$$\|\xi'\|_{\mathcal{L}_2} \leq \beta'(\max(|\xi'_0|, \|w'\|_{\mathcal{L}_2})). \quad (49)$$

Combining this with (41), (44) and (47) gives

$$\begin{aligned} \|\xi\|_{\mathcal{L}_2}^2 &= \|\bar{\xi}\|_{\mathcal{L}_2}^2 + \|\xi'\|_{\mathcal{L}_2}^2 \\ &\leq \beta_3(\max(|\xi_0|, \|w\|_{\mathcal{L}_2})) + c_5|\xi_0|^2 + c_6\|w\|_{\mathcal{L}_2}^2 \end{aligned} \quad (50)$$

for some  $c_5, c_6 > 0$ . This establishes internal stability of system (1) as for some  $\mathcal{K}$ -function  $\beta$ , we have

$$\|\xi\|_{\mathcal{L}_2} \leq \beta(\max(|\xi_0|, \|w\|_{\mathcal{L}_2})). \quad (51)$$

■

*Proof of Proposition 3.4:* (i)  $\Rightarrow$  (ii) and (i)  $\Rightarrow$  (iii) are immediate from  $\{0\} \subseteq [0, 1]$  and  $\{1\} \subseteq [0, 1]$ , respectively.

(ii)  $\Rightarrow$  (i) To prove this statement, we assume  $b$ -contractivity as described in Definition 3.1 for some  $\mathcal{K}$ -function  $\beta'$  and some  $\bar{\gamma}' < 1$ . Let  $\xi_0 \in \mathbb{R}^{n_\xi}$ ,  $\tau_0 \in [0, 1]$ ,  $\theta_0 \in \mathbb{T}$ , and  $w \in \mathcal{L}_2$  be given and consider  $(\xi, \tau, \theta) \in \mathcal{S}_z(\xi_0, \tau_0, \theta_0, w)$ . Consider now  $w' \in \mathcal{L}_2$  as in (33), which gives  $\|w\|_{\mathcal{L}_2} = \|w'\|_{\mathcal{L}_2}$ . Let  $(\xi', \tau', \theta') \in \mathcal{S}_{z'}(\xi'_0, 0, \theta'_0, w')$ , with  $\xi'_0 \in \mathbb{R}^{n_\xi}$  and  $\theta'_0 \in \mathbb{T}$ . For all  $t \in [0, \tau_0]$  the response  $(\xi', \theta', z')$  satisfies (34). Using this, and by taking  $\xi'_0 = e^{-A\theta_0\tau_0}\xi_0$  as in proof of Proposition 3.3, there is a  $(\xi', \tau', \theta') \in \mathcal{S}_{z'}(\xi'_0, 0, \theta'_0, w')$  such that

$$(\xi(t), \tau(t), \theta(t)) = (\xi'(t + \tau_0), \tau'(t + \tau_0), \theta'(t + \tau_0)) \quad (52)$$

for  $t \in \mathbb{R}_{\geq 0}$ , leading to  $\theta(t) = z'(t + \tau_0)$ . Hence,  $\|z\|_{\mathcal{L}_2} \leq \|z'\|_{\mathcal{L}_2}$ . Using  $b$ -contractivity for the response  $(\xi', \theta', z')$  gives

$$\begin{aligned} \|z\|_{\mathcal{L}_2} &\leq \|z'\|_{\mathcal{L}_2} \leq \beta'(|\xi'_0|) + \bar{\gamma}'\|w'\|_{\mathcal{L}_2} \\ &= \beta'(|\xi'_0|) + \bar{\gamma}'\|w\|_{\mathcal{L}_2}. \end{aligned} \quad (53)$$

Using again (37), we get that  $|\xi'_0| \leq c|\xi_0|$ . Hence, from (53) we obtain

$$\|z\|_{\mathcal{L}_2} \leq \beta'(c|\xi_0|) + \bar{\gamma}'\|w\|_{\mathcal{L}_2} \quad (54)$$

which establishes the contractivity of system (1), as (3) holds with  $\beta(r) = \beta'(cr)$ ,  $r \in \mathbb{R}_{\geq 0}$ , which is also a  $\mathcal{K}$ -function, and  $\bar{\gamma} = \bar{\gamma}'$ .

(iii)  $\Rightarrow$  (ii) To prove this statement we assume  $e$ -contractivity as described in Definition 3.1 for some  $\mathcal{K}$ -function  $\beta'$  and some  $\bar{\gamma}' < 1$  and condition (1) and (2) are satisfied. Consider the response  $(\xi, \tau, \theta) \in \mathcal{S}_z(\xi_0, 0, \theta_0, w)$  with  $\xi_0 \in \mathbb{R}^{n_\xi}$ . Consider the initial conditions  $\xi'_0$  and  $\theta'_0$  for which condition (1) is satisfied and define  $M$  as value of  $t$  for which (5) is satisfied. Now, define the the input  $w'$  as

$$w'(t) = \begin{cases} 0, & t \in [0, M) \\ w(t - M), & t \geq M \end{cases} \quad (55)$$

and let  $(\xi', \tau', \theta') \in \mathcal{S}_{z'}(\xi'_0, 1, \theta'_0, w')$  be the response for which

$$(\xi'(t^+), \tau'(t^+), \theta'(t^+)) = (\xi(t^+), \tau(t^+), \theta(t^+)) \quad (56)$$

is satisfied with  $t^+ = (t - M)^+$  [this response exists due to condition (2)]. Note that  $\|z\|_{\mathcal{L}_2} \leq \|z'\|_{\mathcal{L}_2}$  and  $\|w\|_{\mathcal{L}_2} = \|w'\|_{\mathcal{L}_2}$ . Using  $e$ -contractivity for the response  $(\xi', \tau', \theta')$ , we have

$$\begin{aligned} \|z\|_{\mathcal{L}_2} &\leq \|z'\|_{\mathcal{L}_2} \leq \beta'(c|\xi'_0|) + \bar{\gamma}'\|w'\|_{\mathcal{L}_2} \\ &= \beta'(c|\xi'_0|) + \bar{\gamma}'\|w\|_{\mathcal{L}_2}. \end{aligned} \quad (57)$$

Using now that  $|\xi'_0| \leq \alpha(|\xi_0|)$  for some  $\mathcal{K}$ -function  $\alpha$  leads to

$$\|z\|_{\mathcal{L}_2} \leq \beta(|\xi_0|) + \bar{\gamma}\|w\|_{\mathcal{L}_2} \quad (58)$$

which establishes (3) and, thus, contractivity of system (1) for  $\mathcal{K}$ -function  $\beta(r) = \beta'(\alpha(r))$ ,  $r \in \mathbb{R}_{\geq 0}$ , and  $\bar{\gamma} = \gamma' \in [0, 1)$ . ■

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