Co-design of output feedback laws and event-triggering conditions for the $L_2$-stabilization of linear systems

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We investigate the $L_2$-stabilization of linear systems using output feedback event-triggered controllers. In particular, we are interested in the scenario where the plant output and the control input are transmitted to the controller and to the actuators, respectively, over two different digital channels, which have their own sampling rule. The plant dynamics is affected by external disturbances and the output measurement and the control input are corrupted by noises. We present a co-design procedure to simultaneously synthesize dynamic output feedback laws and event-triggering conditions such that the closed-loop system is $L_2$-stable with a given upper-bound on the $L_2$-gain. The required conditions are formulated in terms of the feasibility of linear matrix inequalities (LMIs). Then, we exploit these LMIs to maximize the guaranteed minimum time between two transmissions of the plant output and/or of the control input. We also present a heuristic method to reduce the amount of transmissions for each channel. The developed technique encompasses time-driven (and so periodic) sampling as a particular case and the result is also new in this context. The effectiveness of the proposed methods is illustrated on a numerical example.

1. Introduction

In event-triggered control, the feedback loop is closed only when a state/output dependent criterion is violated. As a result, the amount of communication between the sensors, the controllers, and the actuators is adapted to the current state of the controlled system, which may be significantly reduced compared to conventional time-triggered setups, see Heemels, Johansson, & Tabuada (2012) and the references therein. This feature is particularly appealing when the communication resources are limited and need to be efficiently used such as in networked control systems (NCS), in which the feedback information and the control input updates are transmitted over a shared network.

Most existing event-triggering strategies are developed using the emulation approach, see, e.g., Postoyan, Tabuada, Nešić, & Anta (2015) and the references therein. In other words, the feedback law is first synthesized to stabilize the plant in the absence of network. Afterwards, the effect of network is considered and the sampling rule is constructed. A possible disadvantage of emulation is that the performance of the system, like the (guaranteed) $L_2$-gain, is limited by the initial choice of the feedback law. To overcome this restriction, the controller and the event-triggering condition should be designed simultaneously, which is usually more challenging. In this respect, three directions of research are proposed in the literature: the joint design of control inputs and self-triggering conditions, e.g., Gommans, Antunes, Donkers, Tabuada, & Heemels (2014) and Kögel & Findeisen (2014), optimal event-triggered control, e.g., Brunner, Heemels, & Allgöwer (2016) Molin & Hirche (2010), and the co-design of feedback laws and event-triggering conditions, e.g., Davoodi, Meskin, & Khorasani (2016), Li, Fu, & Du (2016), Meng & Chen (2014) and Zhang & Han (2013). We are interested in the last approach.
We consider the scenario where the plant dynamics is linear time-invariant (LTI) and is affected by external disturbances and both the output measurement and the control input are corrupted by noises. We assume that the plant output and the control input are transmitted over two different channels, which are governed by two independent event-triggering conditions. Each triggering condition can only depend on the information available locally at each channel, that is the noisy measurement of the plant output or of the noisy control input. Similar setups have been studied in Abdelrahim et al. (2016) but with the emulation approach. Our objective here is to co-design dynamic output feedback laws and the triggering rules to ensure the $L_2$-stability of the closed-loop system with a given upper-bound on the $L_2$-gain.

We consider dynamic output feedback laws of the same dimension as the plant, as well as the same type of triggering rules as in Abdelrahim et al. (2017). The difference is that we want to design both the controller and the parameters of the triggering rules simultaneously. The triggering rules consist in waiting fixed amount of times $T_p$, $T_u > 0$ since the last transmission instant of the plant output and of the control input, respectively, and then checking the event-triggering rules. The enforced bounds $T_p$ and $T_u$ exclude the occurrence of Zeno behaviour at each channel, which might appear otherwise. The overall system is modelled as a hybrid system in the formalism of Goebel et al. (2012). We first revisit the results presented in Abdelrahim et al. (2017) for LTI systems to ease the development of a co-design procedure afterwards. In particular, we provide a new linear matrix inequality (LMI), which ensures the $L_2$-stability of the closed-loop system, by making different modelling and design choices. Still, this matrix inequality becomes nonlinear when the feedback law has to be designed and standard linearization techniques, like congruence transformations cannot be applied. To overcome this issue, we introduce additional LMI constraints. The LMI formulation of the co-design algorithm is then exploited to adapt some transmission characteristics given a desired bound on the $L_2$-gain, which quantifies the robustness of the system. First, the LMI conditions are exploited to maximize the guaranteed minimum times $T_p$, $T_u$. This task is motivated by the fact that the resulting $T_p$, $T_u$ may be very small, and thus may not meet the hardware limitations because of the choice of the feedback law. Hence, it is of interest to enlarge the lower bounds $T_p$, $T_u$, which are the true minimum times between two successive transmissions on the corresponding channel, as we will prove. Second, we present a heuristic method to enlarge the inter-transmission times of the output measurement and of the control input, which may lead to further reductions in the amount of transmissions. The effectiveness of the approach is illustrated on a numerical example. The simulations show that the co-design technique leads to a great reduction in the amount of transmissions compared to the emulation approach while guaranteeing the same (or slightly increased) estimate of the $L_2$-gain. The results also encompass the particular case of time-triggered control as the guaranteed minimum times $T_p$, $T_u$ mentioned above can be used as a maximum sampling period for each corresponding channel.

Compared to Davoodi et al. (2016), Li et al. (2016), Meng & Chen (2014) and Zhang & Han (2013), we synthesize continuous event-triggered controllers while these works are all dedicated to the case of discrete event-triggered control, i.e., the plant dynamics is first discretized and then an event-triggered controller is designed for the discrete-time system, which is a different sampling paradigm. Moreover, we consider different types of exogenous inputs affecting the control system, as the plant is subject to external disturbances and both the output measurement and the control input are corrupted by noise. The effect of noise on the transmitted variables is not trivial to handle and has only been considered in Davoodi et al. (2016) for the plant output only, but not for the control input. Furthermore, we give analytical insights on the potential of the co-design technique to generate less transmissions than with the emulation approach, which has not been studied before in the literature, to the best of our knowledge.

Compared to the preliminary version of this work (Abdelrahim, Postoyan, Dafouz, & Nešić, 2014), we investigate robust stabilization, namely $L_2$-stability, as opposed to asymptotic stabilization. Moreover, inspired by Abdelrahim et al. (2017) and Dolk et al. (2017), the proposed technique applies to the case where the plant output and the control input are transmitted asynchronously, which is different than the setup studied in Abdelrahim et al. (2014), where both transmissions occur synchronously.

The rest of the paper is organized as follows. Preliminaries are given in Section 2. The hybrid model and the problem formulation are presented in Section 3. We first design event-triggered controllers by emulation in Section 4. Then, the co-design procedure is developed in Section 5. We discuss how to optimize the parameters of the event-triggering mechanism in Section 6. Numerical simulations are given in Section 7. Conclusions are provided in Section 8.

The proofs are given in Appendix.

2. Preliminaries

Let $\mathbb{R} := (-\infty, \infty)$, $\mathbb{R}^n := [0, \infty)$, $Z^0 := [0, 1, 2, \ldots]$ and $Z^n := [1, 2, \ldots]$. A continuous function $\gamma : \mathbb{R}^n \rightarrow \mathbb{R}$ is of class $K$ if it is zero at zero, strictly increasing, and it is of class $K_\infty$ if in addition $\gamma(s) \rightarrow \infty$ as $s \rightarrow \infty$. We write $A^T$ and $A^{-T}$ to respectively denote the transpose and the inverse transpose of $A$ (when it exists) and diag$(A_1, \ldots, A_n)$ is the block-diagonal matrix with the entries $A_1, \ldots, A_n$ on the diagonal. The symbol $\ast$ stands for symmetric blocks in matrices. We use $I_n$ to denote the identity matrix of dimension $n$. We denote by $\| \cdot \|$ the Euclidean norm. We use $(x, y)$ to represent the vector $[x^T, y^T]^T$ for $x \in \mathbb{R}^n$ and $y \in \mathbb{R}^m$. The Schur complement formula states that an LMI $\begin{bmatrix} A & B \\ C & D \end{bmatrix} < 0$ is satisfied when $C < 0$ and $A - B^T C^{-1} B < 0$ both hold.

We consider hybrid systems of the following form (Cai & Teel, 2009; Goebel et al., 2012)

$$\dot{x} = F(x, w) \quad x \in \mathcal{C}, \quad x^T \in G(x) \quad x \in \mathcal{D},$$

where $x \in \mathbb{R}^n$ is the state, $w \in \mathbb{R}^m$ is an exogenous input, $C$ is the flow set, $F$ is the flow map, $\mathcal{D}$ is the jump set and $G$ is the jump map. The exogenous input $w$ only affects the flow dynamics in (1) and not the flow and the jump sets, as this will be the case in this study. For more details on the notion of solution for system (1), we refer the reader to Cai & Teel (2009) and Goebel et al. (2012).

We adopt the following definition of $L_2$-norm of hybrid signals (Nešić, Teel, Valmorbida, & Zaccarian, 2013).

Definition 1. For a hybrid signal $z$ defined on the hybrid time domain $\text{dom } z = \bigcup_{j=0}^{J-1} [t_j, t_{j+1}] \times (j)$ with $J$ possibly infinite and/or $t_J = \infty$, the $L_2$-norm of $z$ is defined as $\|z\|_2 := \left( \sum_{j=0}^{J-1} \int_{t_j}^{t_{j+1}} |z(t, j)|^2 dt \right)^{1/2}$, provided that the right-hand side exists and is finite, in which case we write $z \in L_2$. □

Based on Definition 1, we define $L_2$-stability for system (1) as in Heemels, Teel, van de Wouw, and Nešić (2010) and Nešić et al. (2013).

Definition 2. System (1) is $L_2$-stable from the input $w \in L_2$ to the output $z := h(x, w)$ with gain less than or equal to $\eta \geq 0$ if there exists $\beta \in K_\infty$ such that any solution pair $(x, w)$ to (1) satisfies $\|z\|_2 \leq \beta(\|x(0, 0)\|) + \eta \|w\|_2$. □
We introduce two timers \( \tau_y, \tau_u \in \mathbb{R}_{>0} \) to describe the time elapsed since the last transmission instant of \( y \) and of \( u \), respectively, which have the dynamics
\[
\begin{align*}
t_y & = 1 \quad \text{for almost all } t \in [t_r^y, t_r^{y+1}), \quad \tau_y(t_r^{y+}) = 0, \\
t_u & = 1 \quad \text{for almost all } t \in [t_r^u, t_r^{u+1}), \quad \tau_u(t_r^{u+}) = 0.
\end{align*}
\]
These variables will be useful to define the triggering conditions.

Define \( \mathcal{C}_y := \begin{bmatrix} C_p \ 0 \end{bmatrix}, \mathcal{C}_u := \begin{bmatrix} 0 \ C_r \end{bmatrix} \) and let \( x := (x_c, x_u, \tau_y, \tau_u) \in \mathbb{R}^{n_{x}} \), \( q := (x, e_y, e_u, \tau_y, \tau_u) \in \mathbb{R}^{n_q} \) with \( n_q = n_x + n_y + n_u + 2 \), \( \xi := (\hat{u}, d_y, d_u) \in \mathbb{R}^{n_\xi} \) with \( n_\xi = n_u + n_y + n_u \) and \( \nu := (d_y, d_u) \in \mathbb{R}^{n_\nu} \).

In Abdelrahim et al. (2017), the system can be modelled as the following hybrid system
\[
\dot{q} = \begin{cases} 
1 & q \in \mathcal{C}_y \cap \mathcal{C}_u \\
\begin{cases} 
(x, 0, e_y, 0, \tau_y) & q \in \mathcal{D}_y \setminus \mathcal{D}_u \\
(x, e_y, 0, \tau_y, 0) & q \in \mathcal{D}_u \setminus \mathcal{D}_y \\
(x, 0, e_y, 0, \tau_u, (x, e_y, 0, \tau_y, 0)) & q \in \mathcal{D}_y \cap \mathcal{D}_u, 
\end{cases}
\end{cases}
\] (5)

where \( A_1 := \begin{bmatrix} A_p & 0 \\
B_p & B_p C_r \end{bmatrix}, B_1 := \begin{bmatrix} 0 \\
B_r \end{bmatrix} \), \( M_1 := \begin{bmatrix} 0 & 0 \\
B_p & 0 \end{bmatrix}, \xi_1 := \begin{bmatrix} e_y & 0 \\
0 & B_r \end{bmatrix} \). \( A_2 = -C_p A_1, M_2 := -C_r M_1, \xi_2 := -C_r \xi_1, \)
\( F_3 := \begin{bmatrix} -1 & 0 \\
0 & 0 \end{bmatrix}, A_3 = -C_u A_1, B_3 := -C_u B_1, \xi_3 := -C_u \xi_1, \) \( F_3 := \begin{bmatrix} -1 & 0 \\
0 & 1 \end{bmatrix} \).

The sets \( \mathcal{C}_y, \mathcal{D}_y \) are defined according to the triggering condition for the output measurement \( y \) and the sets \( \mathcal{C}_u, \mathcal{D}_u \) are constructed based on the triggering condition for the control input \( u \). The first two cases in the jump map in (5) correspond to the situations when only the triggering condition of the output measurements or of the control input is verified, respectively. The last case in the jump map describes the time instants when both triggering conditions are satisfied, i.e., when \( t^\gamma = t^\nu + T \) for some \( i \in I_T \) and \( j \in I_T \). We design the flow and the jump sets in (5) as follows (as in Abdelrahim et al., 2017)
\[
\begin{align*}
\mathcal{C}_y & := \{ q : |e_y| \leq \rho_y |y| \text{ or } \tau_y \in [0, T^\gamma) \} \\
\mathcal{D}_y & := \{ q : |e_y| \geq \rho_y |y| \text{ and } \tau_y \geq T^\gamma \} \\
\mathcal{C}_u & := \{ q : |e_u| \leq \rho_u |u| \text{ or } \tau_u \in [0, T^\nu) \} \\
\mathcal{D}_u & := \{ q : |e_u| \geq \rho_u |u| \text{ and } \tau_u \geq T^\nu \}.
\end{align*}
\] (6)

where \( \rho_y, \rho_u \geq 0 \) are design parameters. The constants \( T^\gamma \in (0, T^\gamma(\gamma_y)) \) and \( T^\nu \in (0, T^\nu(\gamma_u)) \) are the minimum times that we enforce between two consecutive transmission instants of the output measurement \( y \) and of the control input \( u \), respectively, where
\[
T^\gamma(\gamma_y) := \frac{\pi}{\gamma_y} \quad \text{and} \quad T^\nu(\gamma_u) := \frac{\pi}{\gamma_u} \quad \text{for} \quad \gamma_y, \gamma_u \in \mathbb{R} \quad \text{in \ the \ sequel.}
\] (7)

Remark 1. The upper bounds \( T^\gamma(\gamma_y), T^\nu(\gamma_u) \) in (7) are obtained similarly to Nešić et al. (2009). Although their values can subject to some conservatism, we only use those constant times to prevent the occurrence of Zeno at each channel, which is sufficient to the purpose of this study. Their expressions in (7) are simplified versions of those given in Abdelrahim et al. (2017), see also Dolik et al. (2017).
This is due to the fact that the control input \( u \) in (3) does not involve a feedthrough term and that the plant output and the control input are transmitted asynchronously, which leads to \( L_y = L_u = 0 \) in (27) in \cite{Abdelrahim2017}. □

We consider the following controlled output

\[
z = [C^*_x \ 0] x + [D^*_y \ D^*_z \ D^*_z]^\top \xi = C_x \chi + D_x \xi,
\]

where \( C^*_x, D^*_y, D^*_z \) are matrices of appropriate dimensions.

The objective of this study is to synthesize both controller (3), i.e., the matrices \( A_c, B_c, C_c \) and the flow and the jump sets in (6), i.e., the parameters \( p_y, p_u, T_y, T_u \), such that system (5) is \( L_2 \)-stable from \( (\xi, \upsilon) \) to \( z \) with a guaranteed \( L_2 \)-gain. We first revisit the emulation results of \cite{Abdelrahim2017} for this purpose, then we develop the co-design procedure.

### 4. Emulation

In emulation, we first assume that a stabilizing feedback law (3) is already available and we only construct \( C_c, C_y, D_y, D_u \). We use boldface symbols to emphasize the LMIs decision variables.

### Proposition 1

Consider system (5) with the flow and the jump sets defined in (6) and the output \( z \) in (8). Assume that a stabilizing feedback law (3) is given. Suppose that there exist real scalars \( \epsilon_y, \epsilon_u, \mu_y, \mu_u, \theta_x, \theta_u > 0, \lambda_y, \lambda_u \in (0, 1) \) and a positive definite symmetric real matrix \( P \) such that (9) which is given in Box I holds, where \( D_y := [0 \ 1 \ 0] \) and \( D_u := [0 \ 0 \ 1] \). Let the parameters of the event-triggering mechanism (6) and of the times \( T_y(y_n), T_u(y_n) \) in (7) be selected as \( \rho_y = \frac{x_{T_y}(y_n)}{y_n}, \rho_u = \frac{x_{T_u}(y_n)}{y_n} \) with \( \lambda_y = \sqrt{\lambda_u}, \lambda_u = \sqrt{\lambda_u} \), \( Y_y = \sqrt{F_y}, Y_u = \sqrt{F_u} \), \( \epsilon_y = \epsilon_y \) and \( \epsilon_u = \epsilon_u \). Then, system (5), (6) is \( L_2 \)-stable from \( (\xi, \upsilon) \) to \( z \) with an \( L_2 \)-gain less than or equal to \( \eta := \max\{\theta_x, \theta_u\} \). □

The proof of Proposition 1 follows similar lines as in the proof of Proposition 1 in \cite{Abdelrahim2017} and as in Section VI-C in \cite{Dolk2017}, it is therefore omitted. The decision variables \( \epsilon_y, \epsilon_u, \mu_y, \mu_u, \theta_x, \theta_u \) are used to determine the transmission parameters of the event-triggering mechanism (6), i.e., the triggering threshold parameters \( p_y, \rho_y \) and the enforced lower bounds \( T_y, T_u \). In particular, the decision variables \( \theta_x, \theta_u \) define the guaranteed \( L_2 \)-gain. The parameters \( \gamma_y, \gamma_u \) are related to the \( L_2 \)-gains with which \( \epsilon_y \) and \( \epsilon_u \) affect the \( x \)-system. Smaller values of \( \gamma_y, \gamma_u \) leads to smaller \( L_2 \)-gains and larger bounds \( T_y, T_u \), respectively, and vice versa, in view of (7). Finally, the parameters \( \lambda_y, \lambda_u \) and \( \epsilon_y, \epsilon_u \) are introduced to relax condition (9); we could have stated (9) with \( \epsilon_y = \epsilon_u = 1 \) and \( \lambda_y = \lambda_u = 1 \), but this leads to a more conservative condition.

The guaranteed \( L_2 \)-stability in Proposition 1 is from the disturbances \( (\xi, \upsilon) \) to \( z \) with \( \upsilon \) is the derivative of the measurement noises \( (d_y, d_u) \). The dependence of the \( L_2 \)-gain on \( \upsilon \) is because of
the sampling of the noisy measurements of the plant output and the control input, see (2), (3) and the definitions of the sampling-induced errors \(e_r, e_e\). As a result, the dynamics of \(e_r\) and \(e_e\) between two transmission instants of the plant output and of the control input, respectively, will depend on the derivatives \(d_r\) and \(d_u\) of the measurement noise. Similar type of results have naturally appeared in, e.g., sampled-data systems (Nesic & Lila, 2002) and hybrid dynamical systems (Wang, Nesic, & Teel, 2012).

It is important to mention that condition (9) represents an LMI constraint only if the controller matrices \(A_r, B_r, C_r\) are known. When this is not the case, nonlinear terms appear in (9) such as \(A_r^T A_r\) and \(A_r^T A_r\) in \(\Omega_1\), since \(A_r, A_s\) depend on the controller matrices, in view of their definition after (5). The encountered nonlinearity in these cases is not trivial to handle and cannot be resolved by standard congruence transformations due to the presence of non-invertible matrices, as shown in the proof of forthcoming Theorem 3. This forms one of the main challenges in this study.

**Remark 2.** Proposition 1 exhibits substantial differences compared to Proposition 1 in Abdelrahim et al. (2017). First, the exogenous inputs are concatenated in two vectors \(\xi, \nu\) and not in one vector as in Abdelrahim et al. (2017). This modelling choice allows to resolve some nonlinearity that appear in (9) when the controller is no longer known. Second, the effect of the sampling induced errors \(e_r\) and \(e_e\) on each other is handled in Abdelrahim et al. (2017) by the event-triggering rules, see (24) in Abdelrahim et al. (2017). Alternatively, the interaction between \(e_r\) and \(e_e\) in this study is dealt with the time-triggering rules. This design choice leads to \(L_{\gamma_2} = I_y - u = 0\) in (24) in Abdelrahim et al. (2017), which further simplifies the co-design procedure, see Section V in Abdelrahim et al. (2014). Besides the benefits on the co-design analysis, the above differences also highlight the flexibility of the proposed event-triggering scheme in Abdelrahim et al. (2017).

**5. LMI for co-design**

**5.1. Main result**

We present the co-design procedure for the general case where both the plant output and the control input are transmitted asynchronously, which relies on the next result.

**Theorem 3.** Consider system (5) with the flow and the jump sets defined in (6) and the output \(z\) in (8). Suppose that for given real scalars \(\lambda_y, \lambda_u \in [0, 1]\), \(\sigma_y, \sigma_u > 0\), there exist symmetric positive definite real matrices \(X, Y \in R^{n_y \times n_y}\), real matrices \(M \in R^{n_y \times n_y}\), \(Z \in R^{n_u \times n_u}\), \(N \in R^{n_u \times n_y}\) and real scalars \(\mu_y, \mu_u, \sigma_y, \sigma_u > 0\) such that (10), (11) which are given in Box II are satisfied, where \(\tilde{\sigma}_y := \sigma_y - \max(\lambda_y^2, \lambda_u^2)\) in (10). Let the dynamic controller (3) be given by

\[
A_c = V^{-1}(M - YA_cX - YB_cN - ZC_pX)U^T - \tilde{\sigma}_y Z \in R^{n_c \times n_c}, \quad C_c = NU^T - \tilde{\sigma}_u,
\]

with \(U, V \in R^{n_y \times n_y}\) any square and invertible matrices such that \(U V^T = I_{n_y} = X Y\). Select the parameters of the event-triggering mechanism (6) such that \(\gamma_y = \sqrt{\frac{\lambda_y}{\mu_y}}\), \(\gamma_u = \sqrt{\frac{\lambda_u}{\mu_u}}\) with \(\gamma_y = \sqrt{\frac{\lambda_y}{\mu_y}}\), \(\gamma_u = \sqrt{\frac{\lambda_u}{\mu_u}}\). Then, system (5), (6) is \(L_2\)-stable from \((\xi(u), v)\) to \(z\) with an \(L_2\)-gain less than or equal to \(\gamma = \sqrt{\max(\tilde{\sigma}_y, \tilde{\sigma}_u)}\).

**Proof.**

The proof of Theorem 3 consists of showing that the feasibility of (10)–(11) leads to (9), which in turn implies the \(L_2\)-stability of the hybrid system (5), (6) according to Proposition 1. To obtain the LMI conditions (10)–(11), we rely on the following facts. First, we used the property that condition (9) is symmetric and that we do not consider the feedthrough term in (3). Second, we applied the change of variables technique, inspired by Scherer, Gahinet, and Chilali (1997), to handle some nonlinear terms, as shown in the proof of Theorem 3. Third, we introduced the additional constraints in (11) to overcome other nonlinear terms that could not be solved by standard techniques. We explain in the next section how to exploit Theorem 3 to optimize properties of the transmission times.

**Remark 3.** The co-design procedure generates a tradeoff between the upper-bound \(\eta\) on the \(L_2\)-gain and the guaranteed minimum times \(T_y, T_u\). As mentioned before, the feasibility of (10)–(11) leads to (9). The feasibility of (9) in turn can be only guaranteed if the diagonal entries in (9) are negative, which will be the case when the values of \(\lambda_y, \lambda_u, \sigma_y, \sigma_u\) are sufficiently small and the values of \(\tilde{\sigma}_y, \tilde{\sigma}_u\) are sufficiently large. Consequently, this creates an intuitive tradeoff between the transmission parameters \(\lambda_y, \lambda_u, \sigma_y, \sigma_u\) and the upper-bound \(\eta\) on the \(L_2\)-gain, in view of (7) and the definition of \(\eta\) in Theorem 3. In other words, smaller values of \(\lambda_y, \lambda_u\) lead to larger values of the MATI bounds \(T_y, T_u\). However, the estimated \(L_2\)-gain \(\eta\) might increase, and vice versa.

**5.2. Time-triggered control**

Our co-design results are also relevant and new for time-triggered control. In this case, the flow and the jump sets in (6) become

\[
C_y = \{q : \tau_y \in [0, T_y]\}, \quad D_y = \{q : \sigma_y \in [0, T_y]\}, \quad c_u = \{q : \tau_u \in [0, T_u]\}, \quad d_u = \{q : \sigma_u \in [0, T_u]\},
\]

where \(\tau_y \in [0, T_y], \sigma_y \in [0, T_u]\) are introduced to prevent Zeno behaviour, and \(T_y, T_u\) are strictly smaller than \(T_1(y), T_2(y)\) defined in (7). When \(\tau_y = T_y\) and \(\tau_u = T_u\), the sets in (6) lead to periodic and asynchronous transmissions of the output measurement and of the control input, respectively. Hence, the co-design problem reduces to jointly synthesizing the dynamic controller (3) and the times \(T_y, T_u\). Then, the conclusion of Theorem 3 holds, by following similar lines as in the proof of Theorem 3, when conditions (10)–(11) are verified. Note that in the case of time-triggered control, the parameters \(\sigma_y, \sigma_u\) in (10) are not needed since we do not have the event-triggering rules \(|e_y| \geq \rho_y |v|, |e_u| \geq \rho_u |u|\) in this case and thus condition (10) can be relaxed by eliminating the 8th and the 9th rows and columns from (10).

**6. Optimization problems**

We first exploit the results of Section 5 to enlarge the guaranteed minimum times \(T_y, T_u\) between two successive transmissions at each channel. We then propose a heuristic method to reduce the amount of transmissions.

**6.1. Enlarging the minimum inter-transmission times**

The enforced minimum times \(T_y, T_u\) are a priori only lower bounds on the inter-transmission times. The next lemma reveals that these are actually the minimum inter-transmission times for the transmission instants of the output measurement and of the control input, respectively.
Lemma 1. For any \( q_0 \in C \cup D \), let \( S(q_0) \) be the set of solution pairs \((\phi_q, \phi_f)\) to system (5), (6) with \( \phi_q(0,0) = q_0 \). For a solution \((\phi_q, \phi_f)\), we denote by \((t_j, y_j)\) with \( y_j \in Z_\cdot q_0 \) the hybrid times such that \( \phi_q(t_j, y_j) \in D_\gamma \) and \( \phi_f(t_j, y_j + 1) \not\in D_\gamma \). Similarly, for a solution \((\phi_q, \phi_f)\), we denote by \((t_{j+1}, y_{j+1})\) with \( y_{j+1} \in Z_\cdot q_0 \) the hybrid times such that \( \phi_q(t_{j+1}, y_{j+1}) \in D_\gamma \) and \( \phi_f(t_{j+1}, y_{j+1} + 1) \not\in D_\gamma \), respectively. Then, for any \( q_0 \in C \cup D \), there exists \((\phi_q, \phi_f) \in S(q_0)\) and \( T_y = \min\{t_j, t_{j+1} - t_j : y_j \in Z_\cdot 0, (t_j, y_j), (t_{j+1}, y_{j+1}) \in dom \phi_q \} \) and \( T_u = \min\{t_j - t_{j-1} : y_j \in Z_\cdot 0, (t_{j-1}, y_{j-1}), (t_{j-1} + 1, y_{j-1} + 1) \in dom \phi_q \} \). □

Lemma 1 means that for any initial condition, we can find certain exogenous inputs \( w, d_y, d_u \) such that the minimum time elapsed between two successive transmissions of the plant output and of the control input over the solution to system (5), (6) is exactly \( T_y \) and \( T_u \), respectively. This consequently means that \( T_y \) and \( T_u \) are the actual minimum inter-transmission times of the output measurement \( y \) and of the control input \( u \), respectively.

In order to enlarge \( T_y, T_u \), we need to enlarge \( T_y(y_0), T_u(y_0) \). For this purpose, in view of (7), we need to minimize \( y_0, y_\gamma \), given \( \lambda_y, \lambda_u \neq 0, 1 \). Since \( y_\gamma = \frac{\sqrt{e_\gamma}}{\mu_y}, y_0 = \frac{\sqrt{e_\gamma}}{\mu_y} \) and \( \mu_y, \mu_u \) are decision variables of (10), (11). This multi-objective problem can be addressed by solving the following problem, for fixed values of \( \lambda_y, \lambda_u, \theta_y, \theta_u \),

\[
\min \delta_1 \mu_y + \delta_2 \mu_u \\
\text{subject to (10), (11)}
\]

for some weights \( \delta_1, \delta_2 \geq 0 \).

6.2. Reducing the amount of transmissions

While enlarging \( T_y, T_u \) can be useful to increase the guaranteed minimum times between two transmission instants of the plant output and of the control input, respectively, this may not necessarily lead to a further reduction in the average amount of transmissions. For the last purpose, a heuristic way to proceed is to maximize the parameters \( \rho_y, \rho_u \) of the event-triggering rules in (6).

In view of (5), the event-triggering rule of the output measurement \( y \) is \( |e_y| \geq \rho_y |y| \). Since \( \rho_y = \frac{x_T}{\sigma_y} \), then minimizing \( \rho_y \) by minimizing \( \sigma_y \), \( \sigma_u \). In (10)-(11) result in enlarging the time it takes for event-triggering rule to be violated, i.e., that may enlarge the inter-transmission times, see Remark 4 for further insights. Similar arguments apply for reducing the amount of transmissions of the control input \( u \).

Since \( \sigma_y = \sigma_y^{-1}, \sigma_u = \sigma_u^{-1} \) and \( \sigma_y, \sigma_u \) are decision variables of (10)-(11), we solve this problem by implementing the following algorithm, for fixed values of \( \lambda_y, \lambda_u, \theta_y, \theta_u \),

\[
\min \delta_1 \mu_y + \delta_2 \mu_u + \delta_3 \sigma_y + \delta_4 \sigma_u \\
\text{subject to (10), (11)}
\]

for some weights \( \delta_1, \delta_2, \delta_3, \delta_4 \geq 0 \).

Remark 4. When we optimize the parameters \( y_\gamma, y_u, \gamma_u, \gamma_u \), the obtained controller matrices \( A_c, B_c, C_c \) will consequently be changed. As a result, even if \( \rho_y \) and \( \rho_u \) are maximized, the dynamics of \( e_y \) and \( e_u \) will be different and it may be the case that these reach their thresholds \( \rho_y |y| \) and \( \rho_u |u| \), respectively, faster so that the inter-transmission times are not necessarily larger. That is the reason why the method in this subsection is heuristic. The simulation results in Section 7 show that this does not occur for the considered example and that the optimization problem (14) can greatly reduce the amount of transmissions.

7. Illustrative example

Consider the plant model in Example 3 in Donkers and Heemels (2012) affected by external disturbances and measurement noises, where the plant matrices are given by \( A_p = \begin{bmatrix} 0 & 1 \end{bmatrix}, B_p = \begin{bmatrix} 0 & 0 \end{bmatrix}, C_p = \begin{bmatrix} 1 & 0 \end{bmatrix} \). We consider \( C_p^* = \begin{bmatrix} 1 & 0.5 \end{bmatrix} \) and \( D_{cl} = \begin{bmatrix} 0 & 0 \end{bmatrix} \) for the performance output \( z \) in (8). We first apply the emulation approach in Section 4 with the controller given in Donkers and Heemels (2012) and then we implement the co-design algorithm and we compare the obtained results. We affect the system by external inputs \( w, d_y, d_u \) satisfying \( |u(t,j)| \leq 0.5, d_y(t,j) = 0.1 \sin(50t) \) and \( d_u(t,j) = 0.01 \sin(50t) \). We run simulations for 5 s with 100 initial conditions such that \( x(0,0) \) is randomly distributed in a ball of radius 100, \( \zeta(x(0,0), x(0,0)) = 0 \) and \( v(0,0) = 0 \). The guaranteed L2-gain \( \eta \) using the emulated controller is \( \eta = 1.0195 \) and the guaranteed lower bounds \( T_y \) and \( T_u \) are shown in Table 1. Then, we apply the co-design procedure in Section 5. We found that conditions (10), (11) are feasible with \( \eta = 1.1832 \), which is slightly larger than the value obtained by the equipment. However, the enforced lower bounds \( T_y \) and \( T_u \) have been enlarged by more than 50 0000 and 80000, respectively, using the optimization algorithms (13) with \( \delta^1 = \delta = 2 = 1 \) and (14) with \( \delta^1 = 1 = 1 \) as shown in Table 1. In this case, the optimization algorithm (13) leads to almost periodic sampling, since \( t_{max} \approx T_y \) and \( t_{min} \approx T_u \). This behaviour is justified by the fact that the obtained values of \( y_\gamma, y_u \) are very large compared to the values of \( e_y, e_u \), respectively, which lead to very small values of \( \rho_y = \frac{x_T}{\lambda_{2\gamma}} \) and \( \rho_u = \frac{x_T}{\lambda_{2u}} \). This consequently leads to quick violations of the event-triggering rules \( |e_y| \leq \rho_y |y| \) and \( |e_u| \leq \rho_u |u| \). We have then used the results of Section 6.2 to overcome this issue, which resulted in \( t_{max} > t_{min} \) and \( t_{max} > t_{min} \) as shown in third line of Table 1. We emphasize that the obtained results depend on the choice of the weights \( \delta_i, i \in \{1, \ldots, 4\} \), and that different choices will lead to different performances. The controller matrices in (3) for the last case in Table 1 are

\[
A_c = \begin{bmatrix} -2.0964 & 0.6938 \\ -0.9671 & -0.9093 \end{bmatrix}, B_c = \begin{bmatrix} 5.4891 \\ 3.3864 \end{bmatrix},
\]

\[
C_c = \begin{bmatrix} -0.0459 & 0.0404 \end{bmatrix}.
\]

8. Conclusion

We have investigated the joint design of dynamic output feedback laws and event-triggering conditions for linear systems subject to exogenous inputs. Sufficient conditions have been provided, in terms of LMIs, to ensure an \( L_2 \)-stability property for the closed-loop system. Two optimization algorithms have been presented

<table>
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<th></th>
<th>( T_y )</th>
<th>( t_{max} )</th>
<th>( t_{min} )</th>
<th>( t_{max} )</th>
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<td>1.3197 \times 10^{-4}</td>
<td>1.4672 \times 10^{-4}</td>
</tr>
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<tr>
<td>Optimization 2:</td>
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<td>0.1594</td>
<td>0.0125</td>
<td>0.0589</td>
</tr>
</tbody>
</table>
to enlarge the enforced lower bounds on the inter-transmission times and/or to reduce the average amount of transmissions. The effectiveness of the approach has been illustrated on a numerical example.

Appendix

Proof of Theorem 3. We define the following matrices

\[
S = \begin{bmatrix} X & U \\ U^T & 
\end{bmatrix}, \quad S^{-1} = \begin{bmatrix} Y & V \\ V^T & 
\end{bmatrix}, \quad \Gamma = \left( \begin{array}{c|c} \eta & \eta_y \\ \hline \eta_y^T & \Gamma_y \end{array} \right),
\]

where \( \check{X}, \check{Y} \in \mathbb{R}^{n_y \times n_y} \) are symmetric positive definite real matrices of appropriate dimension. Since \( S^{-1} = \Gamma_y \), it holds that \( XY + \check{U}V = \check{X}Y + \check{X}Y = 0 \).

We also introduce the following matrix \( G = \begin{bmatrix} \lambda_2 \check{Y} & 0 \\ 0 & \lambda_1 \check{Y} \end{bmatrix} \). After some direct calculations, in view of (12), we obtain (recall that \( \check{C}_{y} = [\check{C} \ 0], \check{C}_{u} = [0 \ \check{C}_{e}]. \check{C} = [C_{f} \ \check{C}_{e} = [C_{f} \ \check{C}_{e} = [C_{f} \ 0] \) )

\[
S \Gamma = \left( \begin{array}{c|c} \eta_y & X \\ \hline U^T & \end{array} \right), \quad GS \Gamma = \begin{bmatrix} \lambda_2 \check{X} & 0 \\ 0 & \lambda_1 \check{X} \end{bmatrix}, \quad \Gamma_y S \Gamma = \Gamma_3,
\]

\[
\begin{bmatrix} \check{X}_I \ & \check{Y} \\ \check{Y} & \check{Y}_I \end{bmatrix}, \quad \check{X}_I \Gamma_y = \check{Y}_I \Gamma_y = \check{Y}_I \Gamma_y = \check{Y}_I \Gamma_y = \check{Y}_I \Gamma_y = \check{Y}_I \Gamma_y.
\]

By substituting the above equalities in (10), (11), then multiplying (10) from the left by diag \( (-\Gamma_y^{-1} I, \ 1, 1, 1, 1) \) and from the right by diag \( (\Gamma_y^{-1} I, \ 1, 1, 1, 1) \) and taking \( S^{-1} = \Gamma_y \), we obtain (15) which is given in Box III. In view of the Schur complement of (11), it holds that

\[
-\eta_y < -\lambda_1 \check{X}_I \Gamma_y, \quad -\eta_y < -\lambda_2 \check{Y}_I \Gamma_y, \quad -\eta_y < -\lambda_3 \check{X}_I \Gamma_y.
\]

Note also that \( \check{A}_2 = -\check{C} \check{A}_1, \check{M}_2 = -\check{C} \check{M}_1, \check{e}_2 = -\check{C} \check{e}_1, \check{A}_3 = -\check{C} \check{A}_1, \check{B}_2 = -\check{C} \check{B}_1, \check{e}_3 = -\check{C} \check{e}_1. \) Moreover, in view of (5) and the definition of the matrix \( G \), we have that \( \check{A}_1 = \lambda_3 \check{Y} \check{X}_I A, \check{A}_2 = \lambda_2 \check{Y} \check{X}_I A, \check{B}_1 = \lambda_3 \check{Y} \check{X}_I B, \check{B}_2 = \lambda_2 \check{Y} \check{X}_I B, \check{C}_e = \lambda_3 \check{Y} \check{X}_I e_3 \) and

\[
\check{G} \eta_y = -\eta_y \check{G}_y \eta_y + \lambda_2 \check{G}_y \check{X}_I e_3 + \lambda_3 \check{G}_y \check{X}_I e_3.
\]

Using by (16) and the above equalities in (15) and then by applying the Schur complement (recall that \( \eta_y = \eta_y^T \rho \equiv \eta_y^T \eta_y \)) we deduce that (15) leads to (9).

Thus, by virtue of Proposition 1, the \( \check{C}_2 \)-stability of system (5) is concluded with a guaranteed \( \check{C}_2 \)-gain \( \check{Y} \geq \max(0, \check{Y}) \). \( \square \)

Proof of Lemma 1. Let \( q_0 \in C \cup D \) and \( \phi \) be a hybrid arc such that \( \phi(0, 0) = q_0 \). Define \( \phi_1 = (0, -\check{C}_y \phi_0, -\check{C}_u \phi_0) \). The definitions of the flow and the jump sets in (6) guarantee that \( \gamma_y \geq T_y \) and \( \gamma_u \geq T_u \). We now show that \( \gamma_y \geq T_y \) and \( \gamma_u \geq T_u \). Since \( \phi_{y}(t, j) = -\check{C}_y \phi(t, j) \) for all \( t, j \), we have that \( \phi_y(t, j) = \check{C}_y \phi(t, j) + \phi_y(t, j) = 0 \), see (2), for all \( t, j \) in \( \text{dom} \phi_0 \). Then, it holds that \( \phi_y(t, j) \geq \rho_j \phi_y(t, j) = 0 \) for all \( (t, j) \in \text{dom} \phi_0 \). As a result, \( \gamma_y = T_y \) and \( \gamma_u = T_u \). Hence, two successive jumps of the plant output are separated by \( T_y \) units of time. Similar arguments apply for the inter-jump times of the control input \( u \).

Consequently, \( T_y \geq \gamma_u \) and \( T_u \geq \gamma_u \). We have shown that \( T_y = \gamma_u \) and \( T_u = \gamma_u \). \( \square \)

References


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